

# COMP433: Software Engineering



## Unified Modelling Language (UML)

Prof. Adel Taweel

[ataweel@birzeit.edu](mailto:ataweel@birzeit.edu)

# UML: Unified Modelling Language

## Objectives

To explain unified modelling language as object modelling tools.

To describe

- Different types of UML diagrams

- UML modelling techniques/tools and their applied use

# Covering...!!



Requirements Elicitation



Requirements Specification



Go ahead



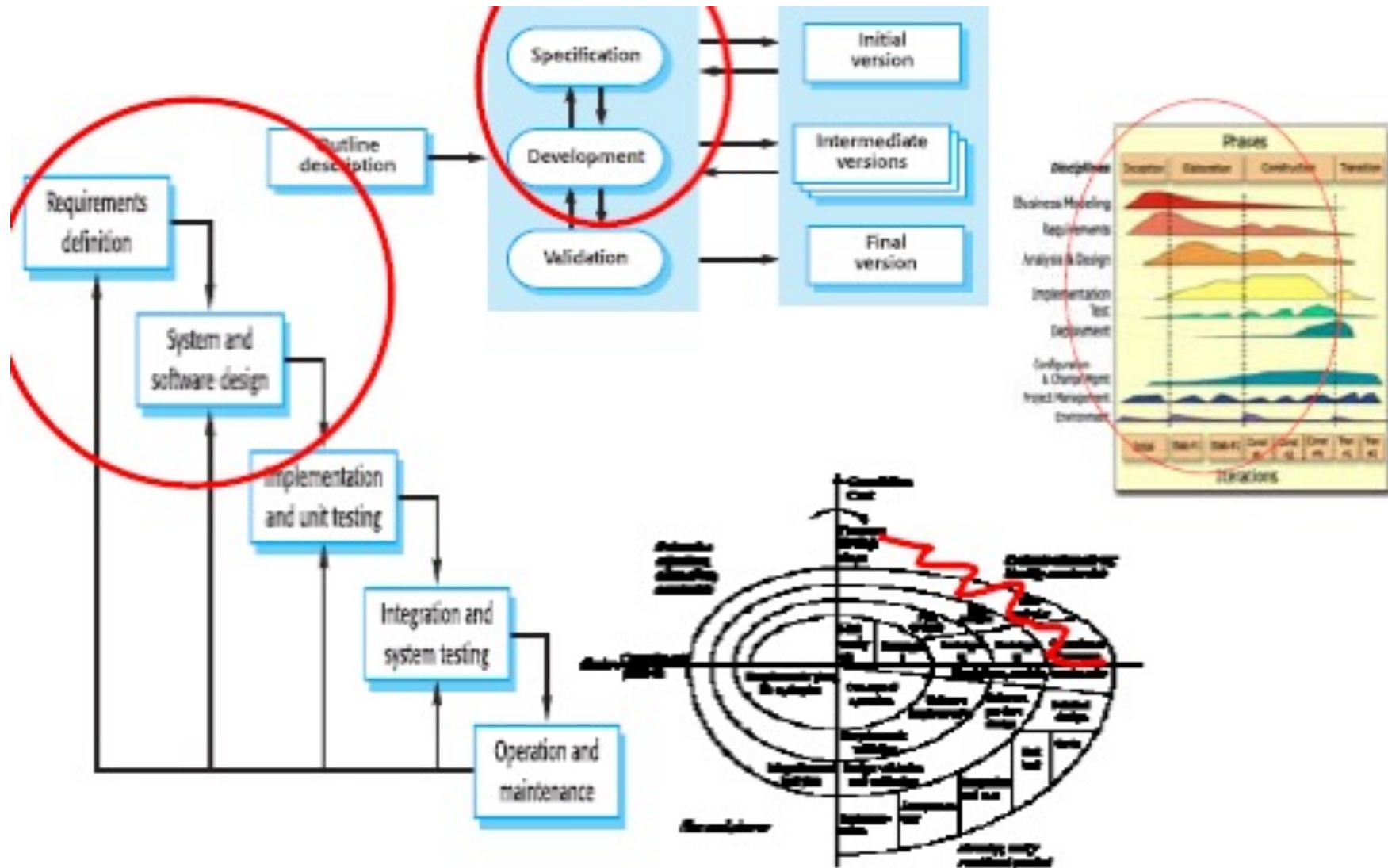
Analysis and Design



They could be  
using  
UML :-)



# A 'tool' for...



# The Unified Modelling Language

Several different notations for describing object oriented designs were proposed in the 1980s and 1990s.

The Unified Modelling Language is an integration of these notations.

It describes notations for a number of different models that may be produced during OO analysis and design.

It is now a de facto standard for OO modelling.

# UML

## **Unified Modelling Language** *Visualising and documenting analysis and design effort.*



Unified because it ...

Combines main preceding OO methods (Booch by *Grady Booch*, *OMT* by *Jim Rumbaugh* and *OOSE* by *Ivar Jacobson*)

Modelling because it is ...

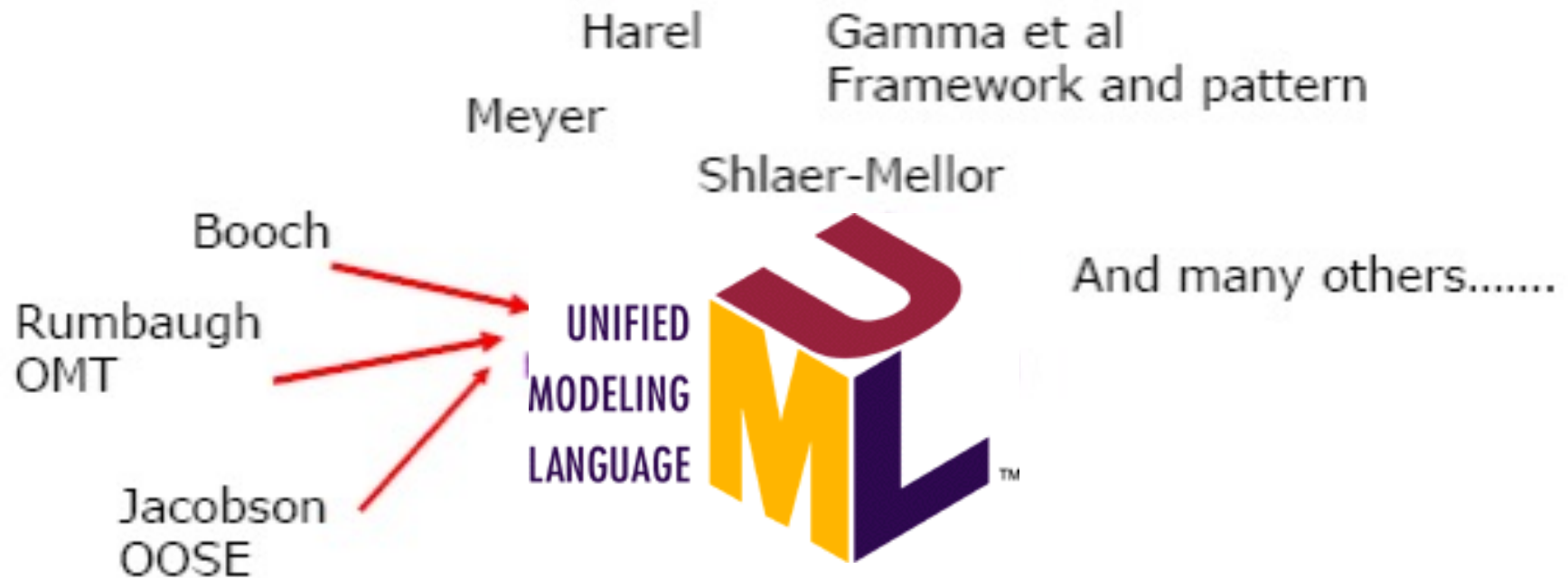
Primarily used for visually modelling systems. Many system views are supported by different appropriate models

Language because ...

It offers a syntax through which to express modelled knowledge

# UML Contributors

- <http://www.uml.org/>



Major three (submission to OMG Jan 97, Acceptance Nov 97...)

<http://www.omg.org/>

# The Three Amigos!



Grady Booch,  
Ivar Jacobson,  
and Jim Rumbaugh –  
historically and fondly  
known in the UML  
community as *The Three  
Amigos* – are often  
credited with the dominant  
contribution to the Unified  
Modeling Language

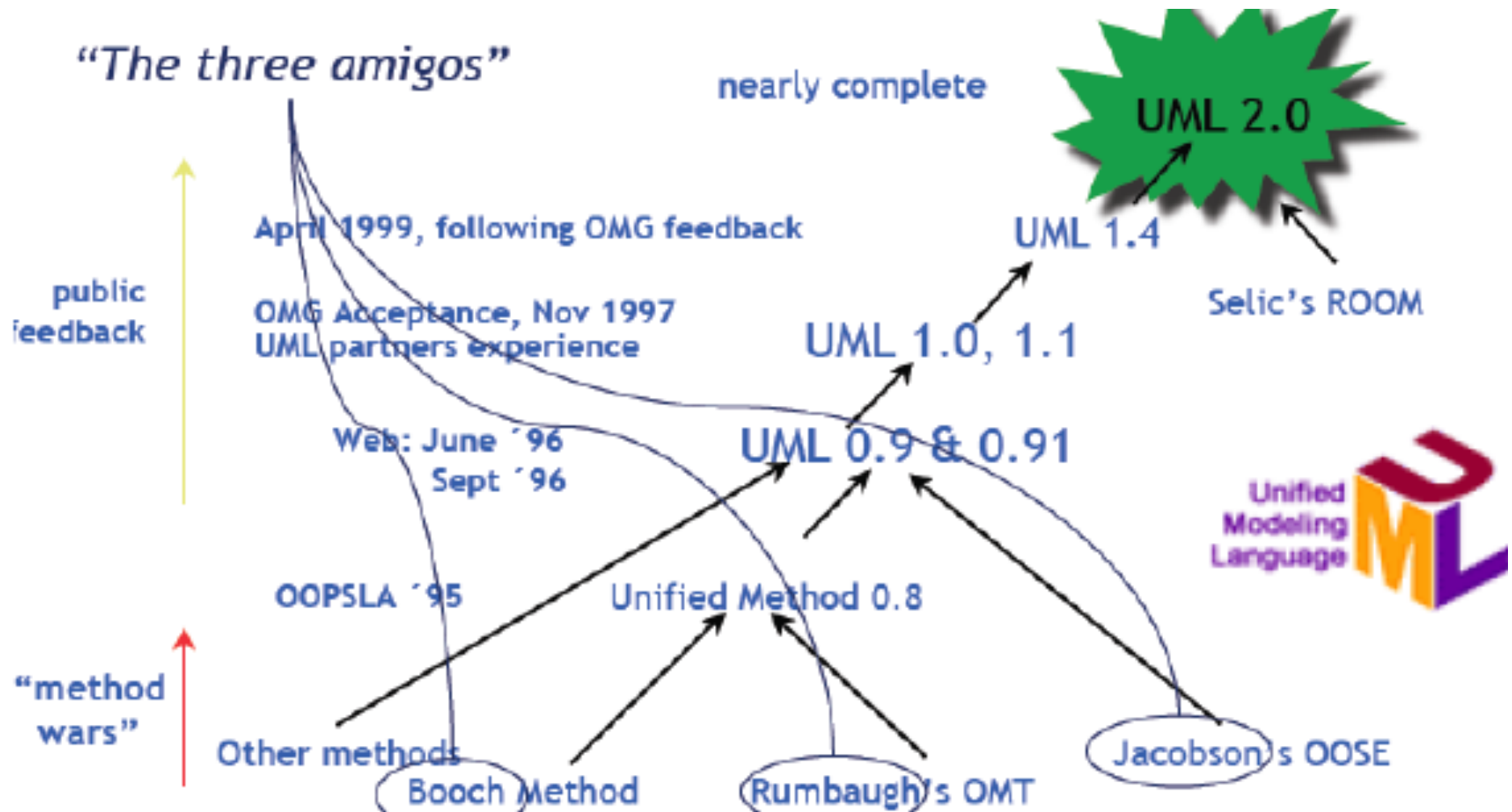


Grady Booch

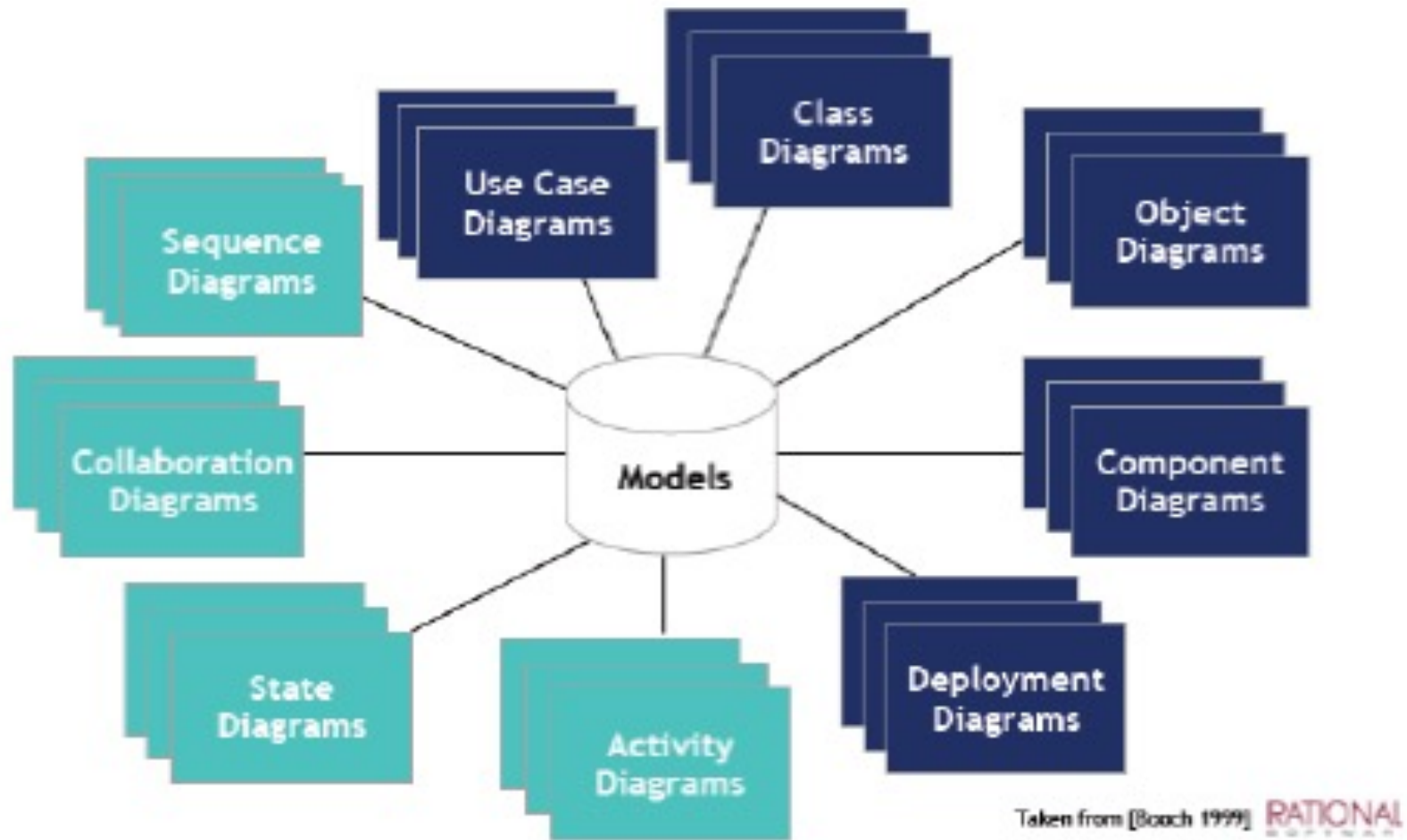
Ivar Jacobson

James Rumbaugh

# UML History



# UML Diagrams



# Models

The language of the designer

(real-world) Representations of the system to-be-built or as built

A complete description of a system from a particular perspective

Tools for communication with various stakeholders

Allow reasoning about some characteristics of a system

Often captures both structural and behavioural (e.g., interaction) aspects of the system



# UML Diagrams

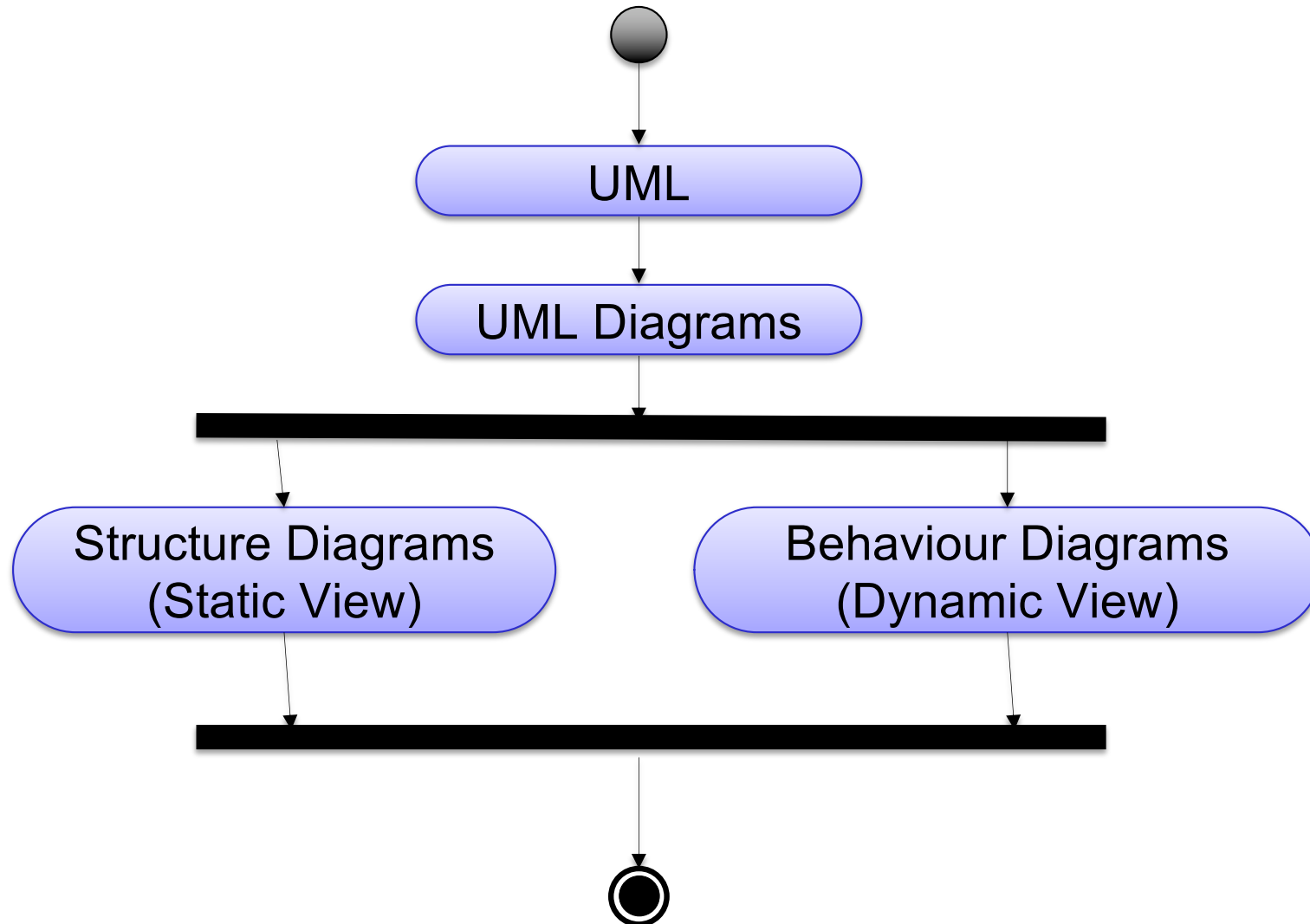
Diagram: a view into the model

In UML, there are more than fourteen modelling diagrams, but nine are considered standard diagrams:

Structure diagrams [Static view ]: use-case, class, object, component, deployment

Behaviour/Interaction diagrams [Dynamic view]: activity, sequence, communication/collaboration, state

# Model of UML Diagrams!



# Summary of UML Diagrams (1): Structure

## Use Case Diagram

Shows use cases, actors, and their interrelationships

## Class Diagram

Shows a collection of static model elements such as classes and types, their contents, and their relationships

## Component Diagram

Depicts the components that compose an application, system, or enterprise. The components, their interrelationships, interactions, and their public interfaces are depicted

## Deployment Diagram

Shows the execution architecture of systems. This includes nodes, either hardware or software execution environments, as well as the middleware connecting them

## Object Diagram

Depicts objects and their relationships at a point in time, typically a special case of either a class diagram or a communication diagram

# Summary of UML Diagrams (2): Dynamic

## Activity Diagram

Depicts high-level business processes, including data flow, or to model the logic of complex logic within a system

## Sequence Diagram

Models the sequential logic, in effect the time ordering of messages between classes (or classifiers)

## Communication/Collaboration Diagram

Shows instances of classes, their interrelationships, and the message flow between them. Communication diagrams typically focus on the structural organization of objects that send and receive messages. Formerly called a Collaboration Diagram

## State (Machine) Diagrams – Behavioral and Protocol

Describes the states an object or interaction may be in, as well as the transitions between states. Formerly referred to as a state chart diagram, or a state-transition diagram. A behavioral state machine examines the behavior of a class; a protocol state machine illustrates the dependencies among the different interfaces of a class

# UML Diagrams vs Software life Cycle/Process models

## Analysis:

### Requirement Engineering:

Elicitation/discovery: User+system requirements->scenarios, interviews etc.

Requirement Analysis (of a Business/System) ): [use case] + [Activity]

Specification: [Use case description]

## Design:

### System Analysis

Design options: [Component]

### System/object Design/Modelling

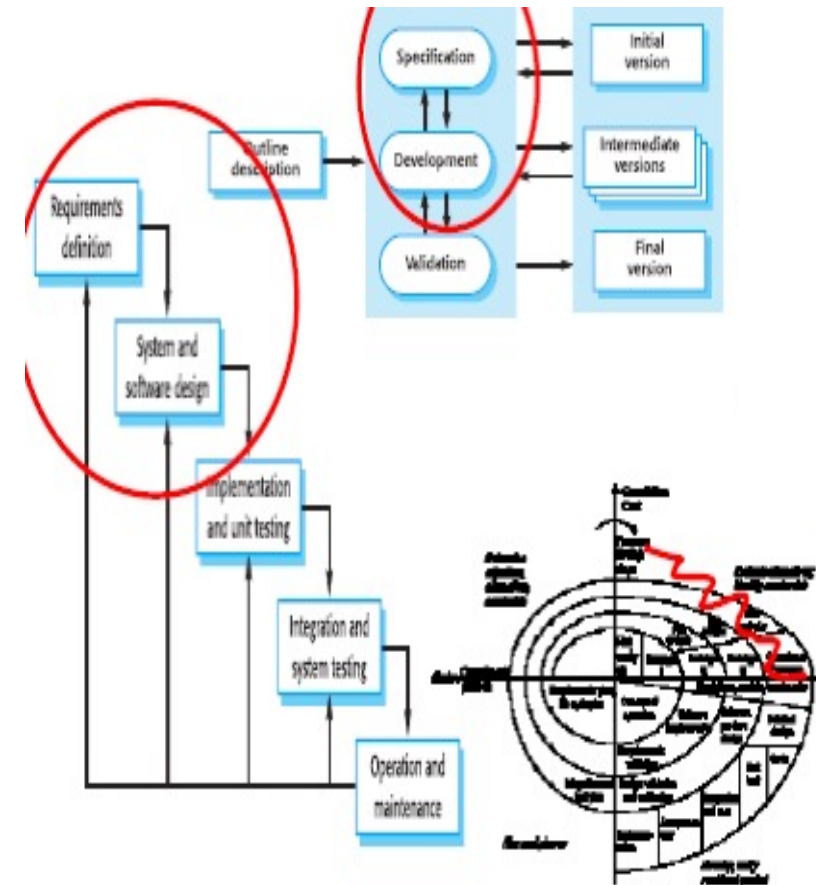
System Entities: [Class]+[object]

Interactions: [Sequence/communication] + [State]

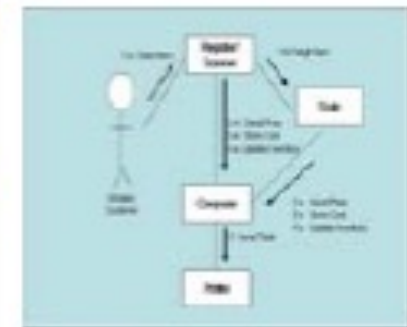
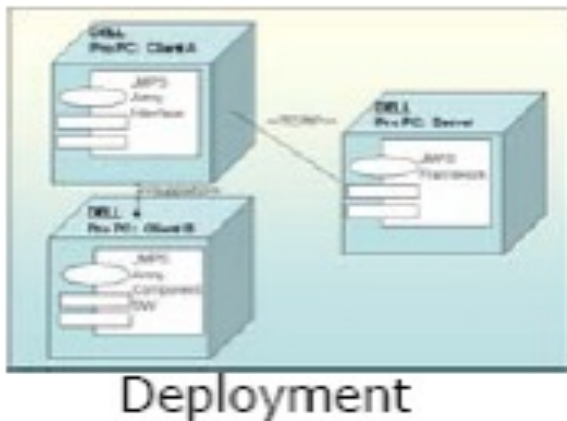
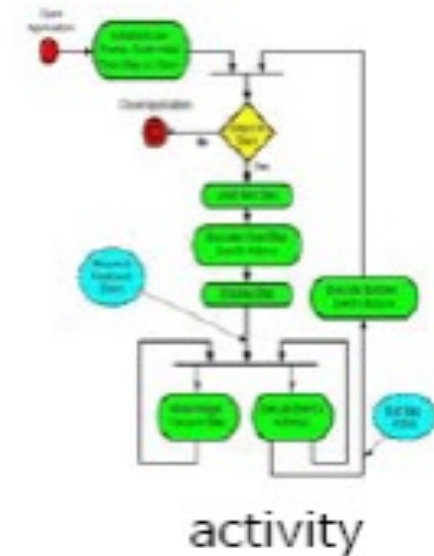
### System Design

Architecture/component view: [Component]

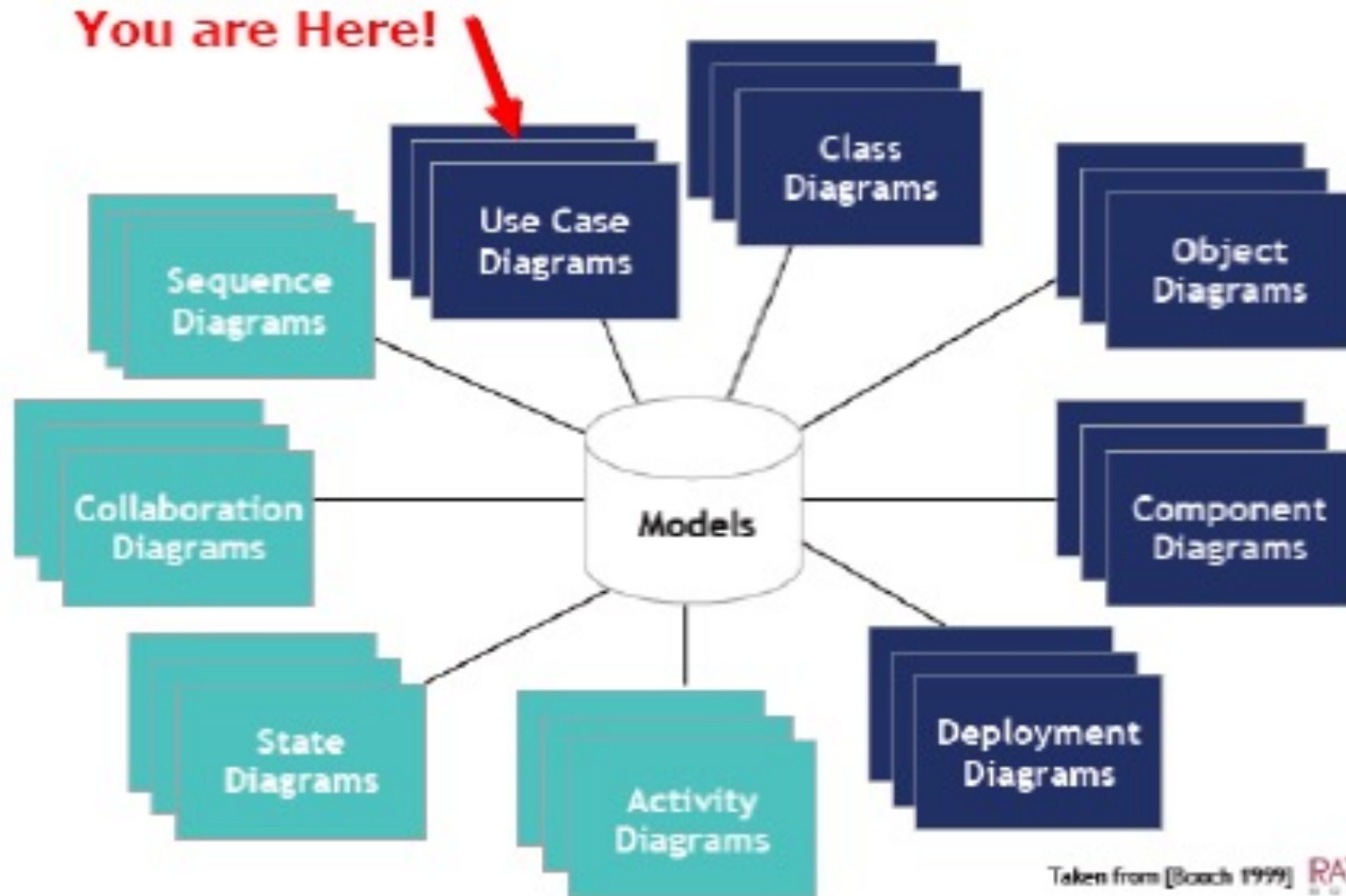
Execution view: [Deployment]



# Examples of UML Diagrams



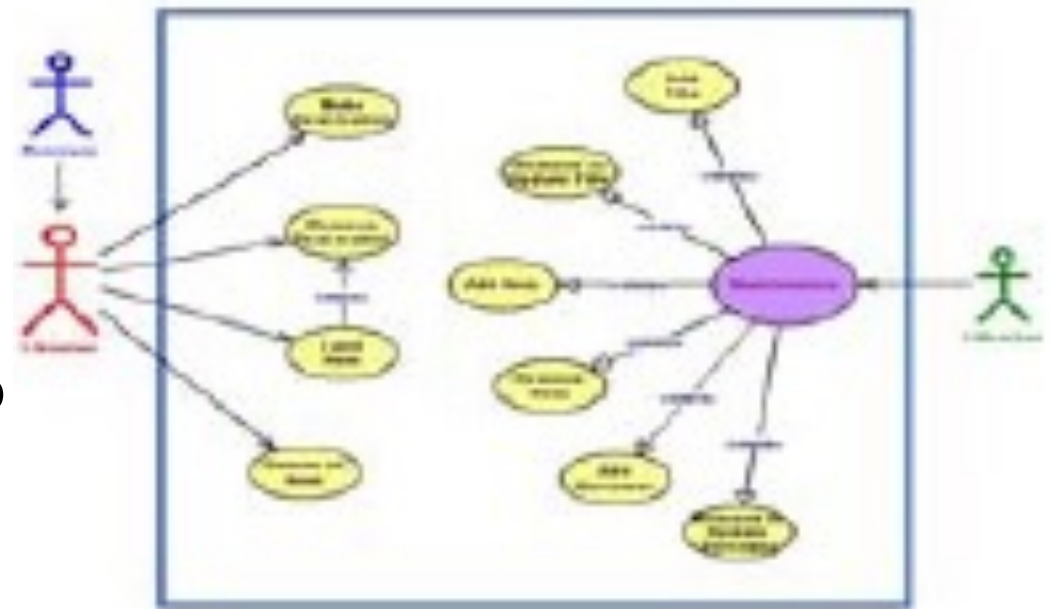
# UML Diagrams



Taken from [Booch 1999] **RATIONAL** SOFTWARE

# Use Cases

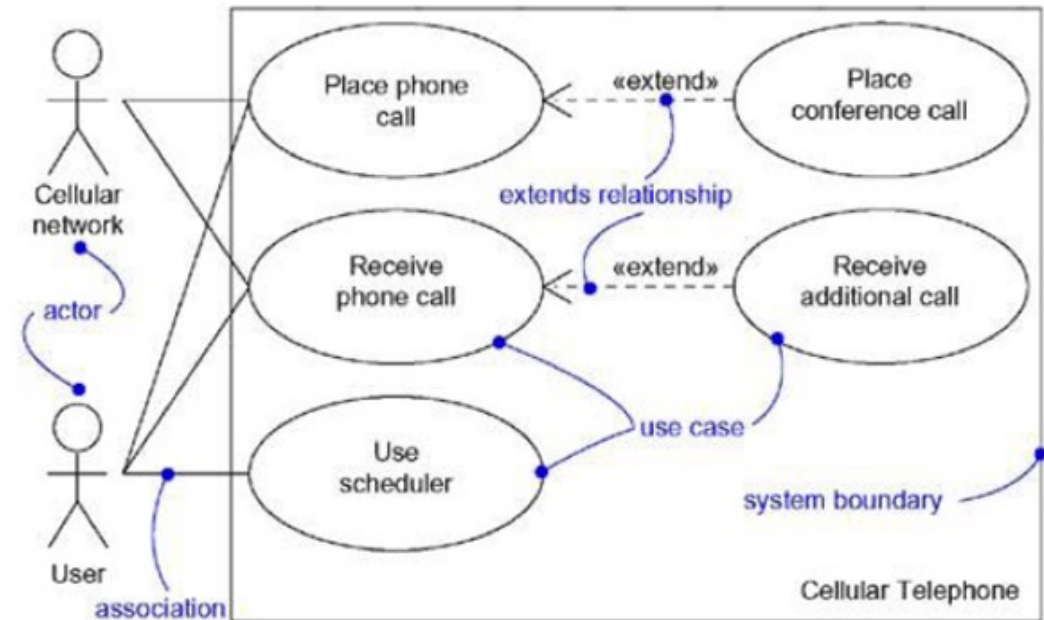
What is use case modelling?  
What are actors?  
How to find actors?  
What are use cases?  
How to find use cases?  
How to construct a use case diagram?  
Detailing a use case...



# What is use case modelling?

## Basis for a user-oriented approach to system development

- Identify the **users** of the system (**actors**)
- Identify the **tasks** they must undertake with the system (**use cases**)
- Relate users & tasks (**relationship or association**)... help identify system **boundary**
- Capture system **functionality** as seen by *users*



Booch 1999

# Use cases?

Represent that an Actor has a **case** of (or for) **using** the system. The **tasks** that must provided by the system to the user (Actor) to undertake.

Use cases:

- Built in early stages of development

- Developed by analysts & domain experts during requirements analysis

Use cases aid to:

- Specify the context of a system

- Plan iterations of development

- Validate a system's architecture

- Drive implementation & generate test cases

# How to identify Actors?

Observe direct users of the system- could be users or systems

What roles do they play?

Who provides information to the system?

Who receives information from the system?



Actors could be:

Principal

Secondary (External hardware, other systems, ...)

Describe each actor clearly and precisely (semantics)

Short name: always a **Noun**

Description: describe what is their role and how they interact with the system

**Example:**

**BookBorrower:** This actor represents someone (or a user) that makes use of the library for borrowing books [Principal actor]

**SystemTimer:** This actor represents a system-event that triggers regularly (automatically) checking expired loans [Secondary Actor ]

# Exercise!

Assume you have a requirements documents for a Patient Medical System (PMS): identify KEY actors that interact with the system

For each actor, write down the name and provide a brief textual description (i.e., describing the semantics of the actor)

Actor	Semantics
Name 1	Description

# Exercise: Potential Actors!

Actor	Semantics/Description
Doctor	This actor represents someone who is a member of the PMS, registered on the system, that can view and edit patient records only
Nurse	This actor represents someone who is a member of the PMS, registered on the system, that can view and edit patient records
Receptions	This actor represents someone who is a member of the PMS, registered on the system, that can view, Edit and create patient records
Patient	This actor represents someone who their information is registered on the system, but can not view, edit their records
IT Staff	This actor represents someone who can maintain patient records
Lab Staff	This actor represents someone who can edit patient records to enter lab tests only
...	

# Exercise!

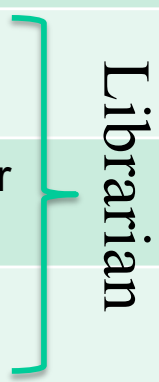
Assume you have a requirements document for a library system: identify all actors that interact with the system

For each actor, write down the name and provide a brief textual description (i.e., describing the semantics of the actor)

Actor	Semantics
Name 1	Description

# Exercise: Potential Actors!

Actor	Semantics/Description
BookBorrower	This actor represents someone who is a member of the library, registered on the system, that can borrow books only
JournalBorrower	This actor represents someone who is a member of the library, registered on the system, that can borrow books and journals
BookBrowser	This actor represents someone who can search for books or journals (but may not be a member of the library and cannot borrow books or journals )
BookClassifier	This actor represents someone who classifies/catalogs new books and registers them in the systems
BookReturnRegistrar	
BookLendRegistrar	
BookShelver	This actor represents someone who shelves books and register book shelving status in the system

 Librarian

# What are use cases?

Things actors do with the system

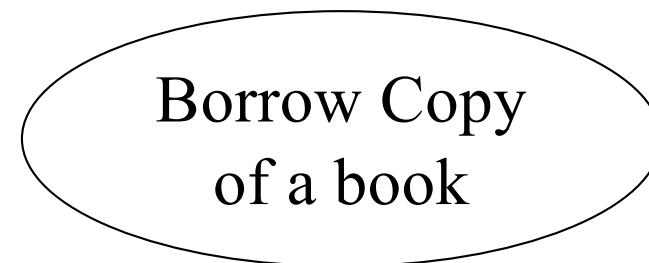
A **task** which an actor needs to perform with the help of a system (e.g., Borrow a book)

An **interaction** with another specific kind of a system

Describe the behaviour of the system from a **user's standpoint**

A role an actor takes in using the system.

Represented by **ellipses**



# How to find Use Cases?

## ➤ Scenario-based analysis

Write system processes (or services) as scenarios. Identify interactions with the system, each interaction is a potential use case!

## ➤ Actor-based analysis

Identify actors, based on system users (and/or stakeholders).

Then start with the list of actors and consider

What they **need** from the system (i.e. what use cases that have value for each actor)

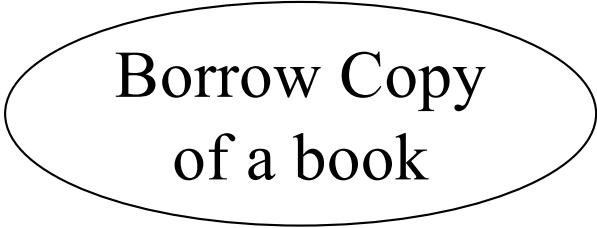
Any **other interactions** they expect to interact with the system (i.e. which use cases they might take part in for **someone's else benefit**)

## How do you know what is a use case?

Estimate frequency of use, examine differences between use cases, distinguish between “normal” and “alternative” course of events & create new uses when necessary

# Describing use cases

Semantics should be described fully!  
Always start a *use case* with a **verb**!



Borrow Copy  
of a book

## **Example:**

### **Use case: Borrow copy of a book**

A book borrower presents a book. The system checks that the potential borrower is a member of the library & that s/he does not have the maximum number of books.

# Example: Library System

## **Books and journals:**

The library contains books and journals. It may have several copies of a given book. Some of the books are for short term loans only. All other books may be borrowed by any library member for three weeks. Members of the library can normally borrow up to six items at a time, but members of staff may borrow up to 12 items at one time. Only staff members may borrow journals. Members of the public, who are not members of the library, can use the library and browse/search for books, but cannot borrow books. Members that return their borrowed items late after the loan period (i.e. return date) pay a fine, which can be paid in cash or using a credit card. Email reminder is sent automatically to members of late loans.

## **Borrowing/Returning/Renewing books:**

The system must keep track of when books and journals are borrowed, renewed and returned, enforcing the rules described above.

## **Managing books:**

The system must enable library staff/librarian to manage: update/catalog/remove existing and add new books and journals

=> Highlight **Nouns** and **Verbs**, using different colours.

Apply these rules:

-Discard: redundant nouns & verbs; omnipotent nouns; meta-language; constraints or events.

# Example: Library System

## Books and journals:

The library contains **books** and **journals**. It may have several **copies** of a given book. Some of the books are for short term loans only. All other books may be **borrowed** by any **library member** for three weeks. **Members of the library** can normally borrow up to six items at a time, but **members of staff** may borrow up to 12 items at one time. Only **staff members** may borrow journals. **Members of the public**, who are not members of the library, can use the library and **browse/search** for books, but cannot borrow books. Members that **return** their borrowed items late after the loan period (i.e. return date) **pay a fine**, which can be **paid** in cash or using a credit card. **Email** reminder is **sent** automatically to members of late loans.

## Borrowing/Returning/Renewing books:

The system must keep track of when books and journals are borrowed, **renewed** and **returned**, enforcing the rules described above.

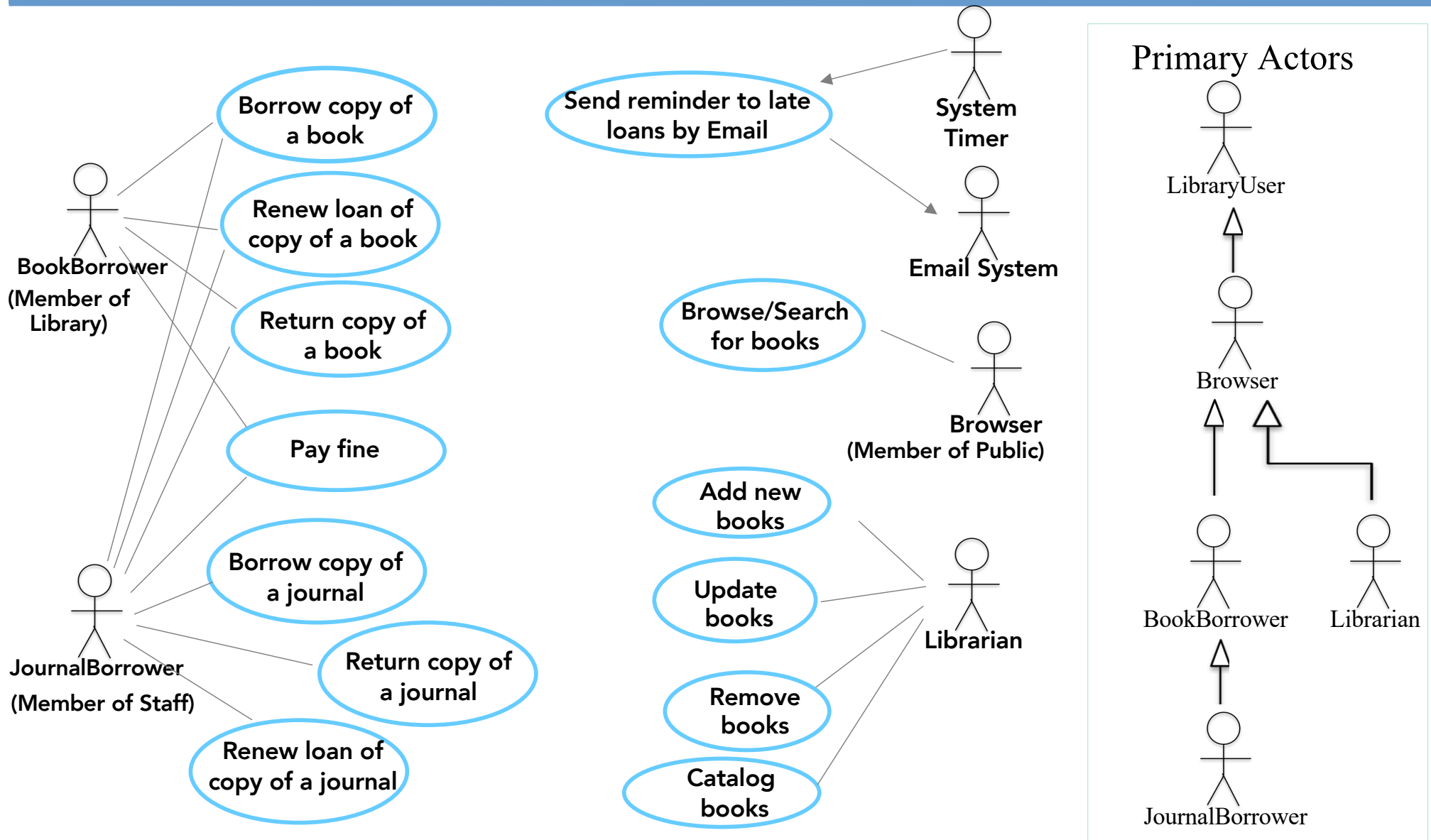
## Managing books:

The system must enable library **staff/librarian** to **manage: update/catalog/remove** existing and **add** new books and journals

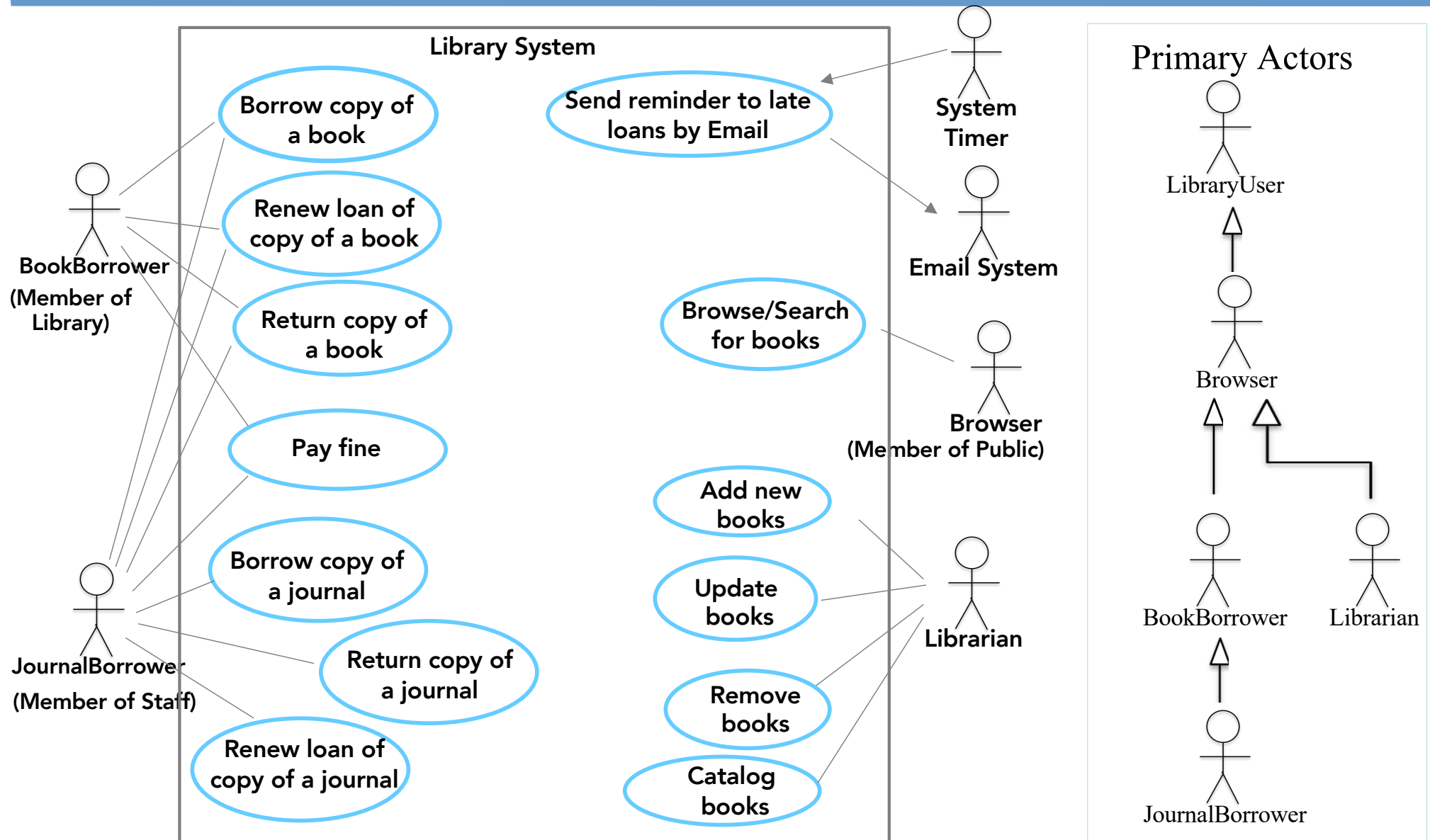
=> Identify noun-verb unique interactions.

=> **Nouns** become **ACTORS**, **Verbs** become **USE-CASES**, and noun-verb interactions become **ASSOCIATIONS**.

# Possible Use Cases- with system boundary



# Possible Use Cases- with system boundary



# How to find Use Cases?

## ➤ Scenario-based analysis

Write system processes (or services) as scenarios. Identify interactions with the system, each interaction is a potential use case!

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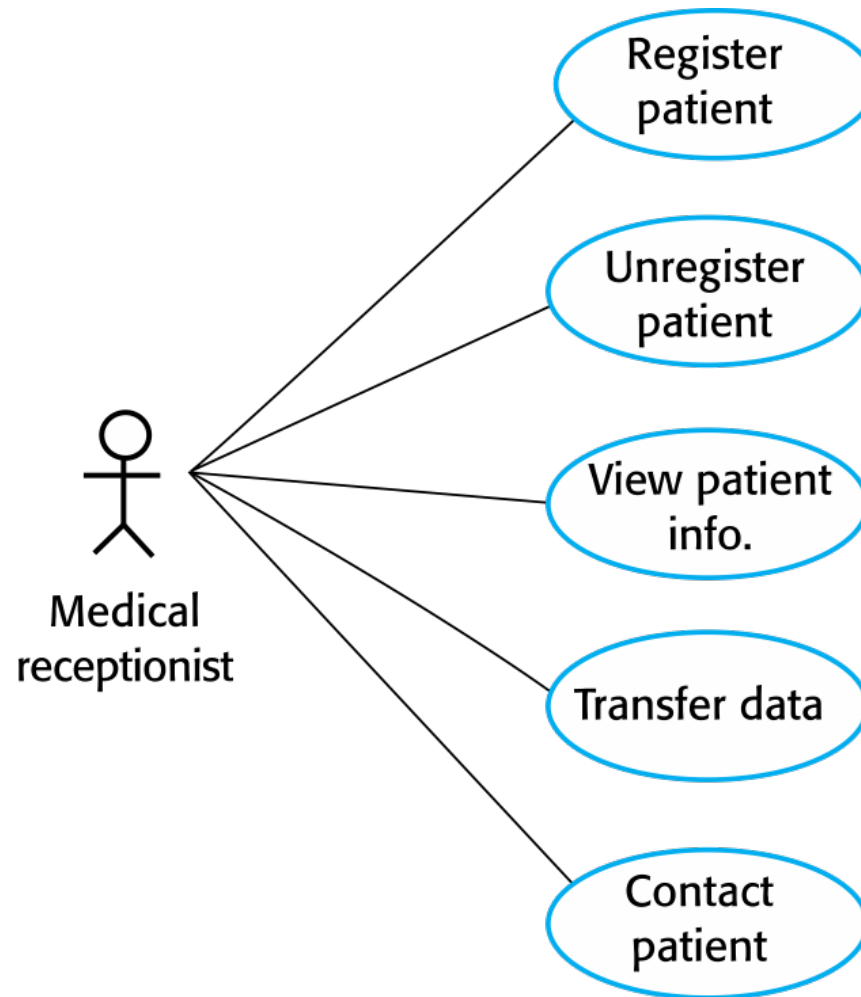
What they **need** from the system (i.e. what use cases that have value for each actor)

Any **other interactions** they expect to interact with the system (i.e. which use cases they might take part in for **someone's else benefit**)

## How do you know what is a use case?

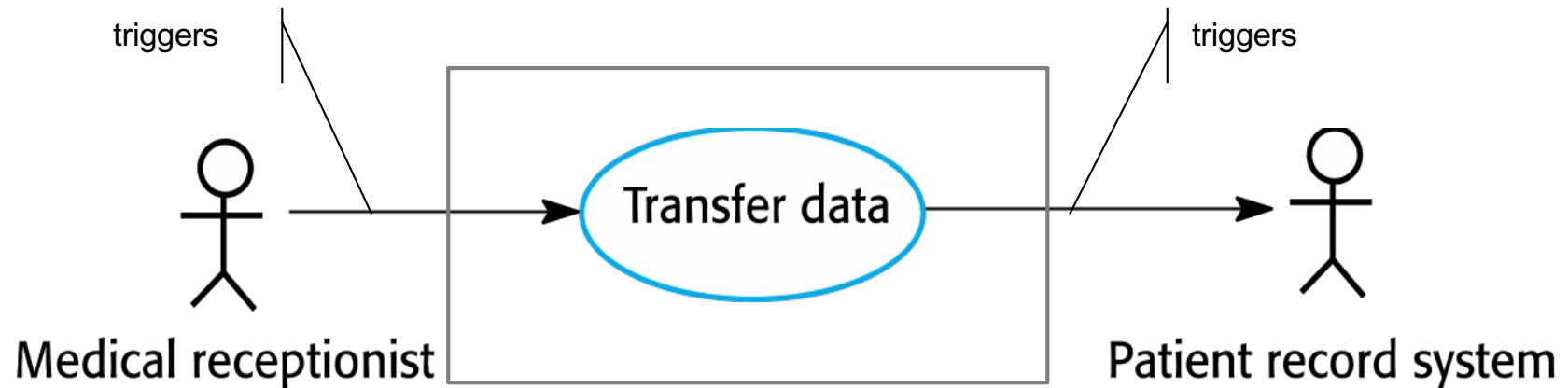
Estimate frequency of use, examine differences between use cases, distinguish between “normal” and “alternative” course of events & create new uses when necessary

# Use cases for the MHC-PMS Actor: 'Medical Receptionist'

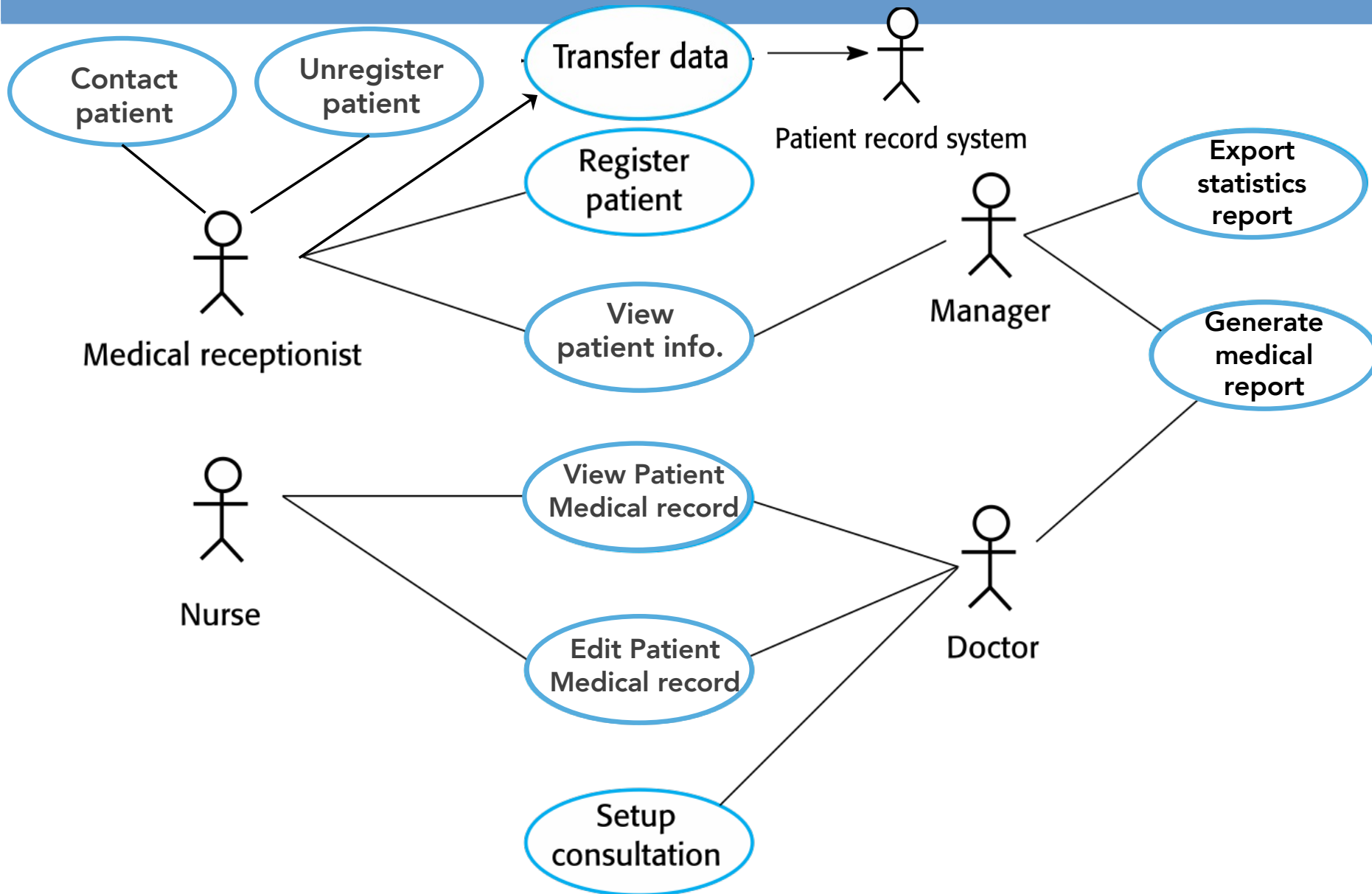


# Example: PMS

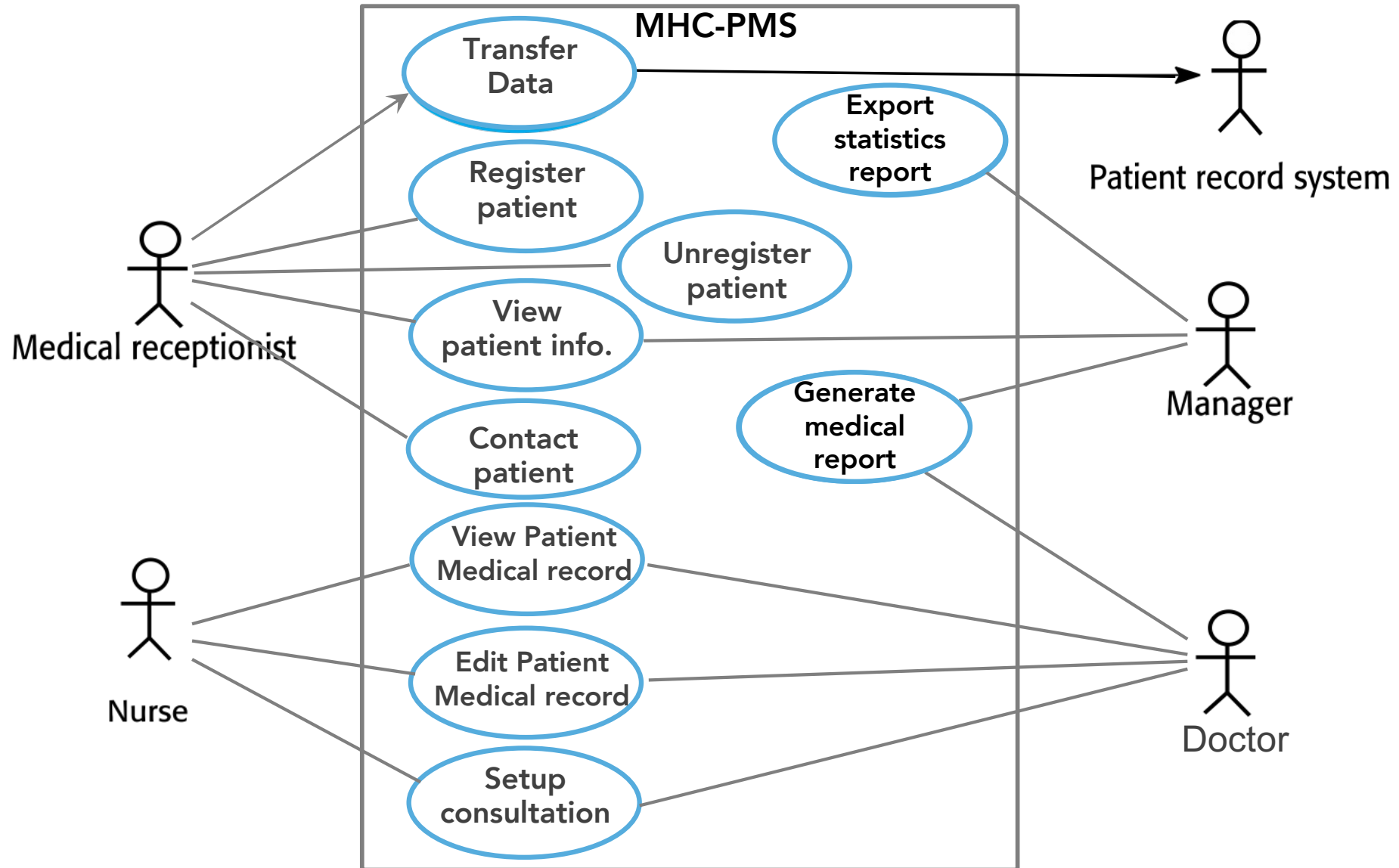
## Transfer-data use case in the MHC-PMS



# Use cases for the MHC-PMS



# Use cases for the MHC-PMS-System Boundary



# Extensions

Extensions provide opportunities for :

*optional parts*

*alternative complex cases*

*separate sub-cases*

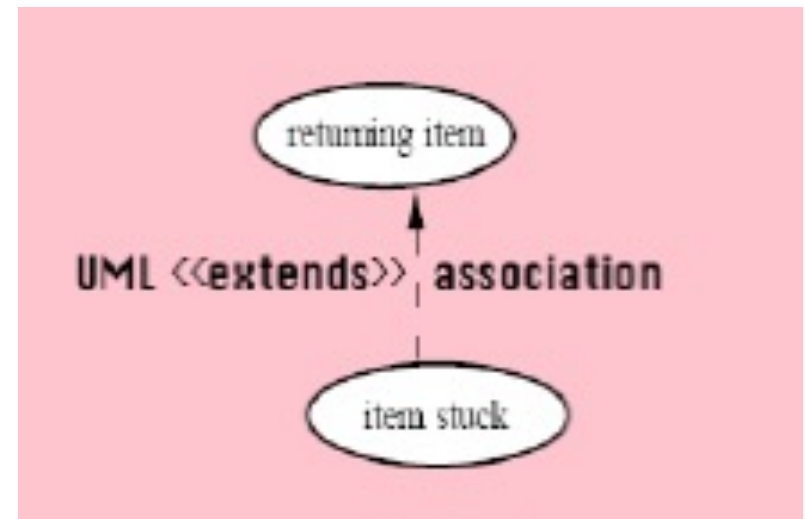
*insertion of use cases*

*Two common extensions:*

*<<extend>> and*

*<<include>>*

*Note: <<include>> and <<extend>> are, UML stereotypes, used to attach additional classification*



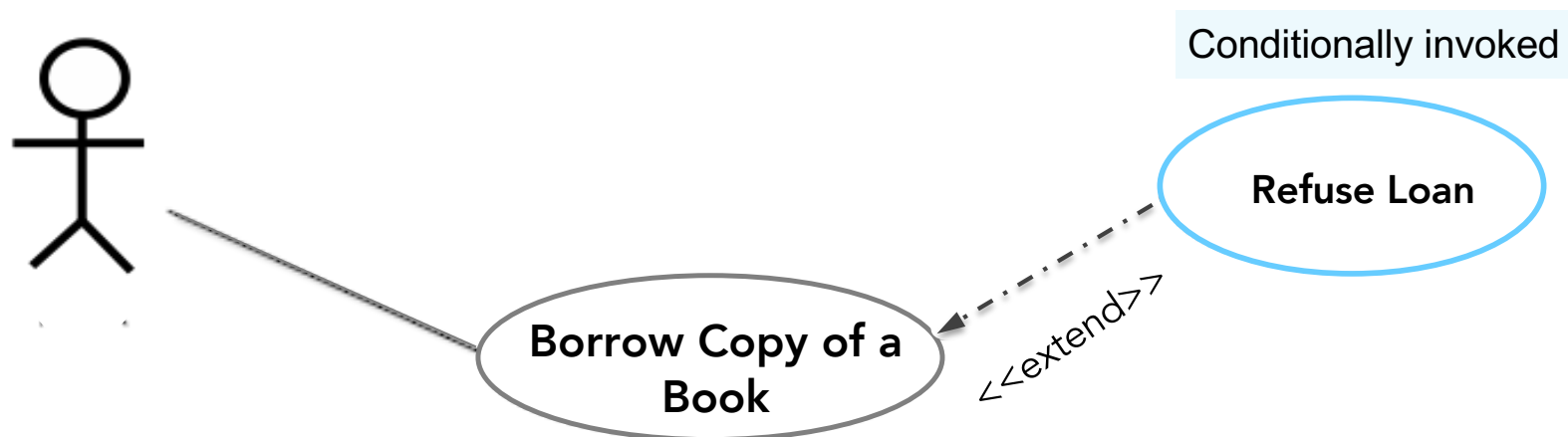
# Refinement - <<extend>>

## Use <<extend>>

To refine functionality of use cases, e.g. to handle different/alternative scenarios  
To show constraints/conditional behavioural of a use case (e.g. to model business rules)

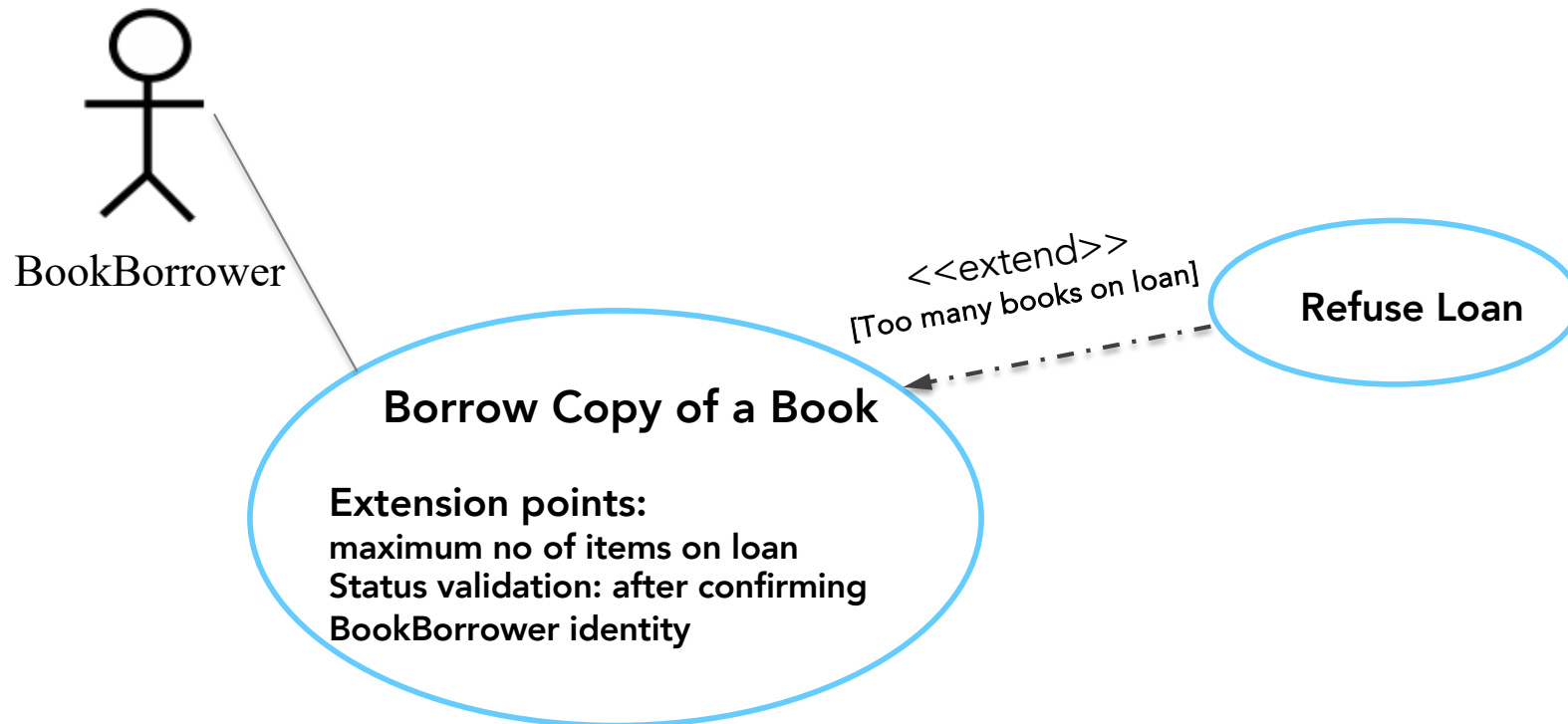
Add <<extend>>, to a model, to show the following situations:

- A part of a use case that is optional (or alternative) system behaviour
- A sub-flow is executed only under certain conditions/constraints
- A set of behaviour segments that may be inserted in a base use case



Note: the direction of the arrow from the less central use-case to the (base or) central use-case!  
“Refuse Loan” and “Borrow Copy of a Book” are two different (or alternative/error) scenarios; i.e., The normal behaviour of “Borrow Copy of a Book”, may change, if a condition is violated (too many books), to “Refuse Loan”.

# Refinement - <<extend>>



**Extensions points** may be added to show when the extension occurs, e.g., the condition when the extended use case gets invoked

# Use <<include>>

## Use <<include>>

To model how the system can reuse pre-existing component

To maximise reuse and develop a project from existing components!

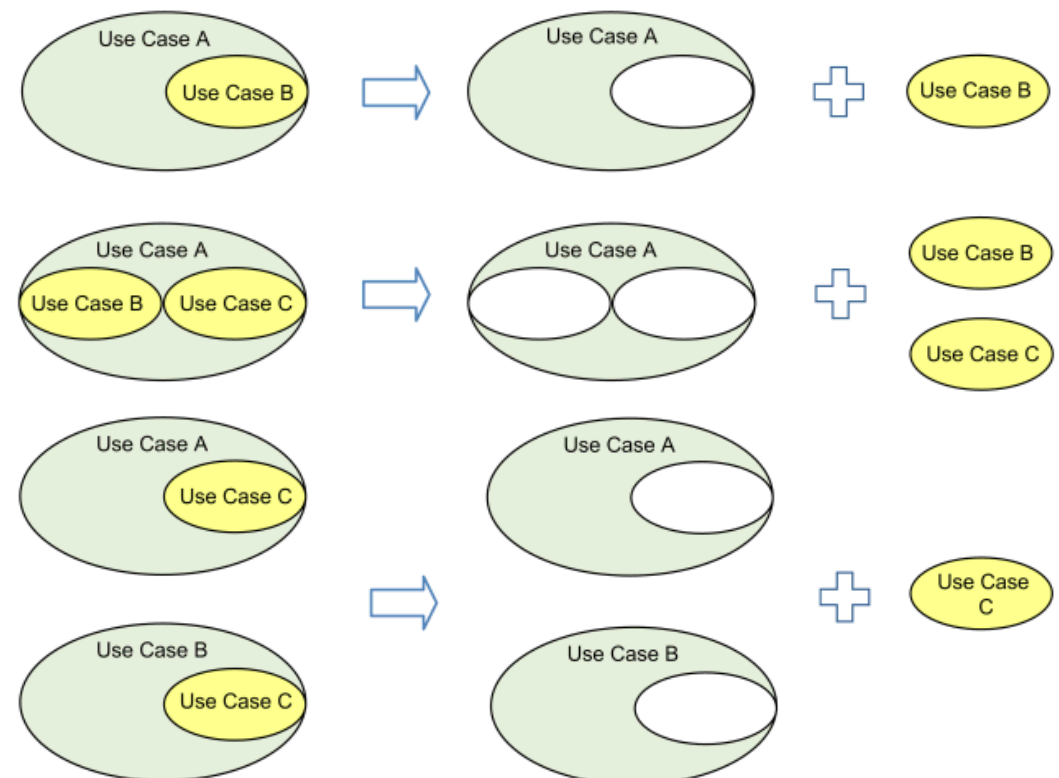
To identify and show common functionality amongst use cases

To void duplicating functionalities within use cases (good engineering practice)

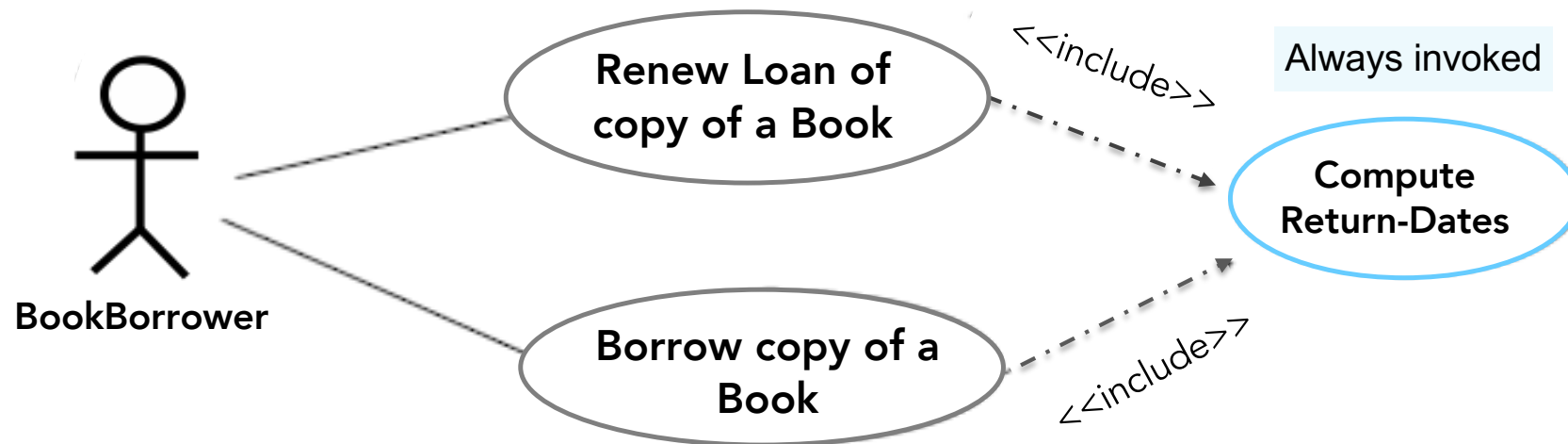
Add <<include>> to a model to show the following situations:

- The behaviour of the included use case is common to two or more use cases (or to simplify a larger use case, by splitting it into several sub use cases).

- The result of the behaviour that the included use case specifies, not the behaviour itself, is important to the central/base use case.



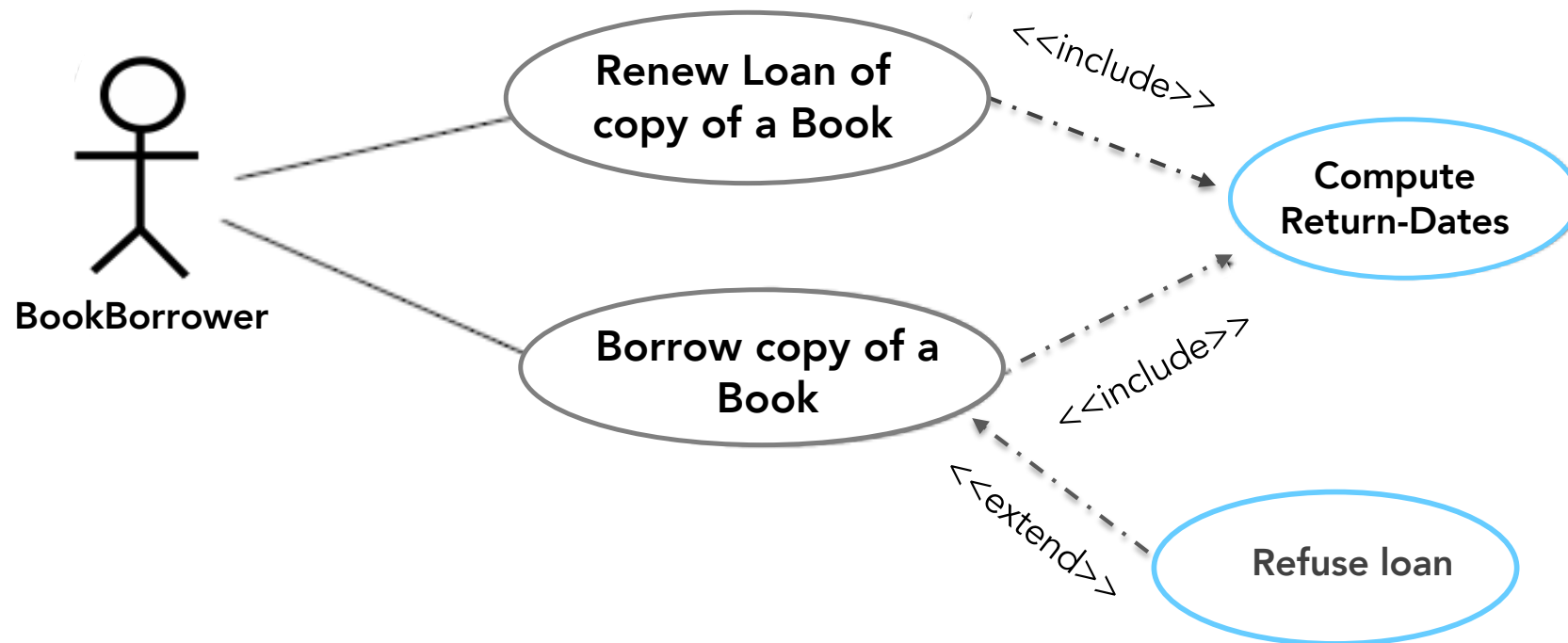
# Refinement - <<include>>



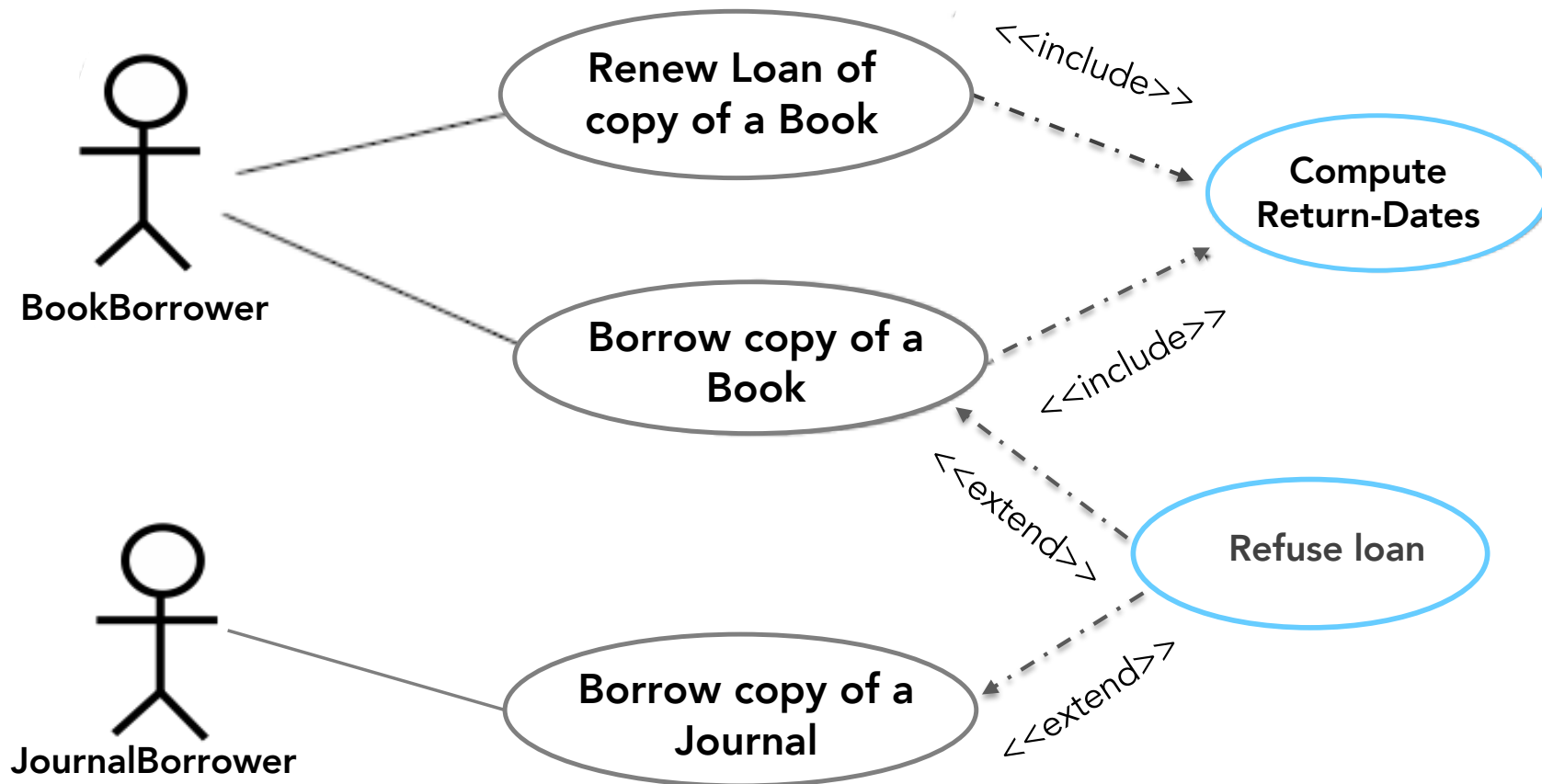
<<include>>

Note: the direction of the arrow from the (base) central use-case to the less central/sub use-case!  
The sub-use case "Compute Return-Date" will not change the (normal) behaviour (scenario) of the base/central use cases.

# Refinement - <<include>> & <<extend>>



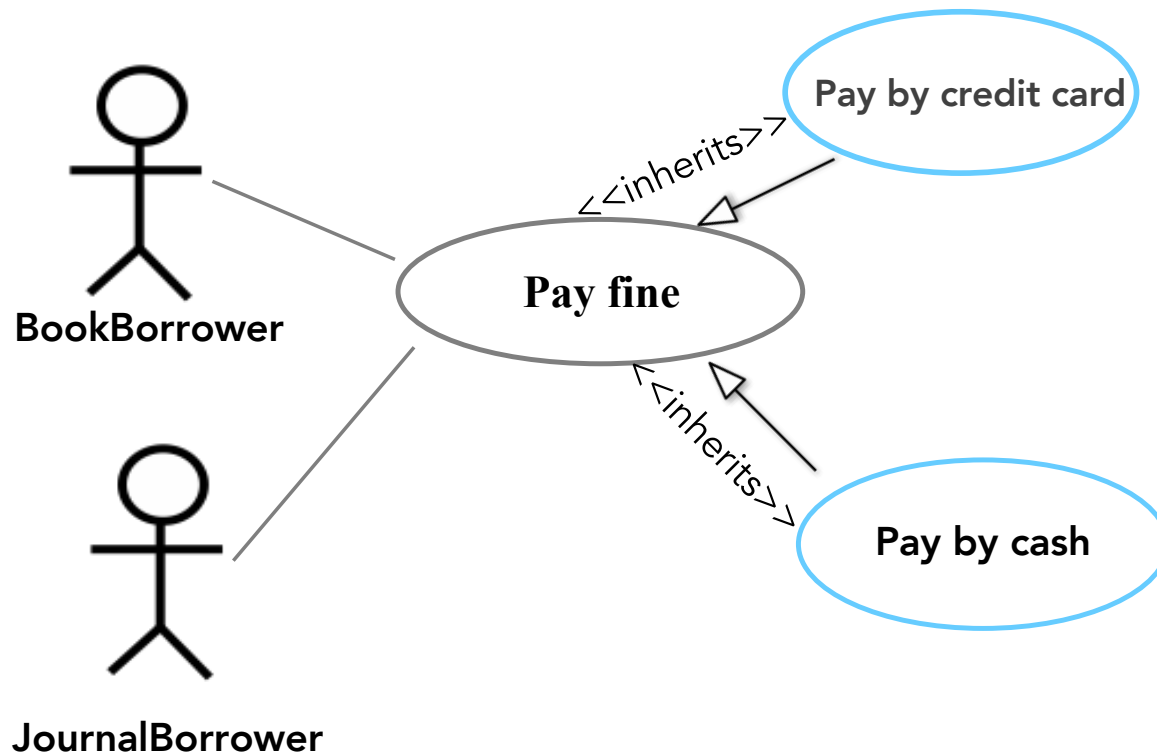
# Refinement - <<include>> & <<extend>>



# Refinement - <<inherit>>

UML v2.5

- Actor can “Pay fine” by two different ways

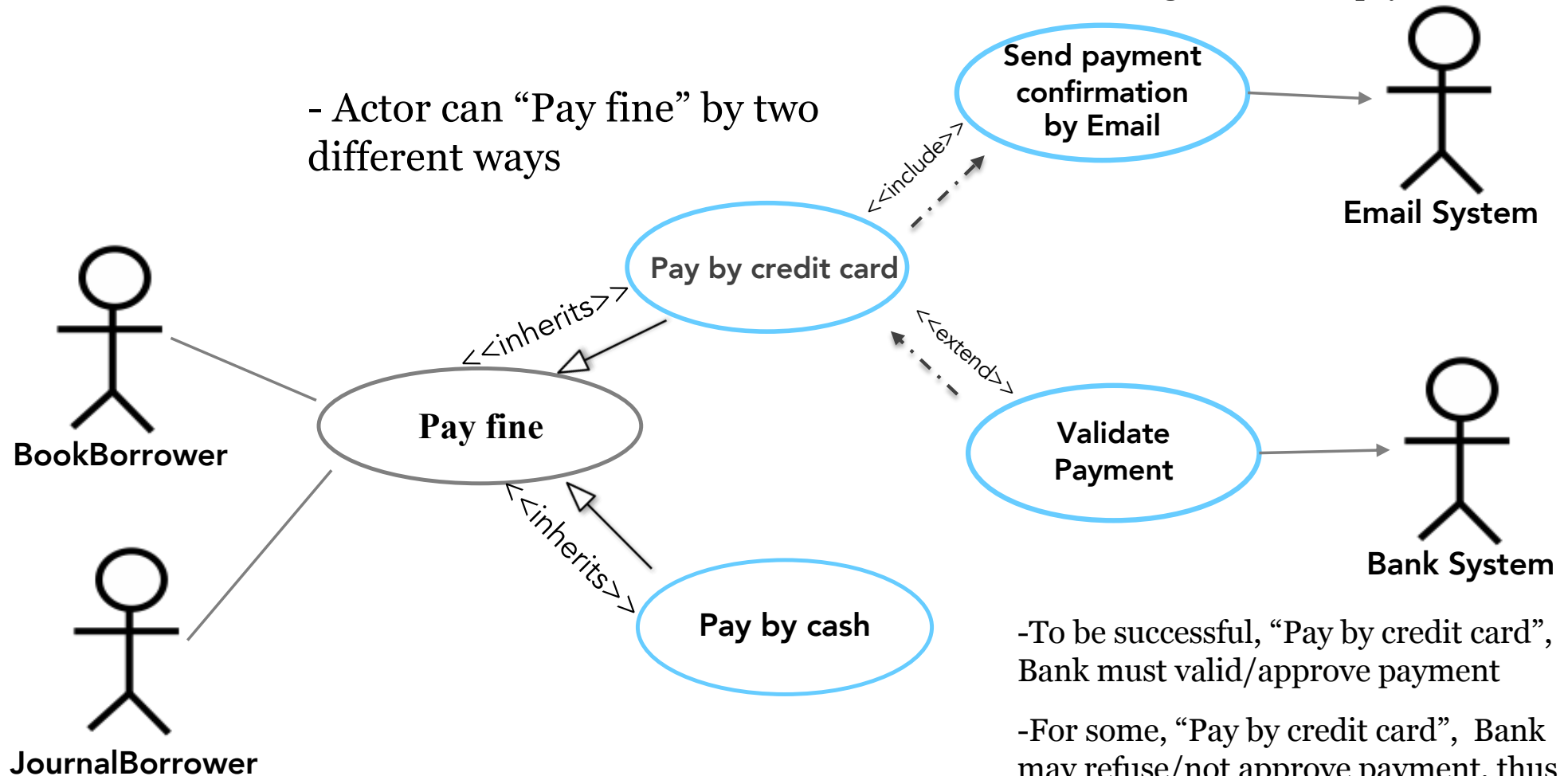


# Refinement - <<inherit>>, <<include>>, <<extend>>

UML v2.5

=> consider a use case, that for every successful “Pay by credit card”, send Email message to confirm payment

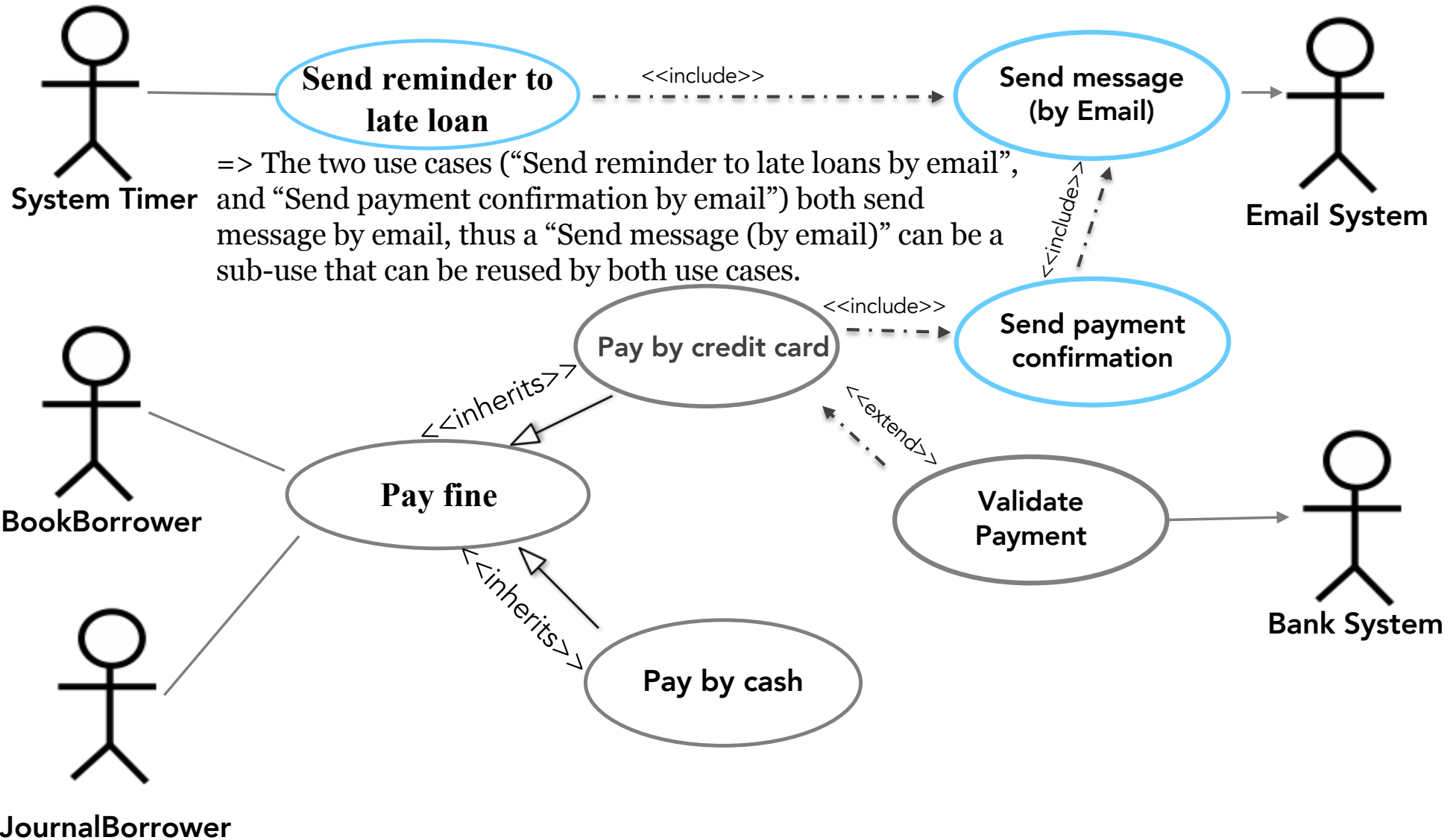
- Actor can “Pay fine” by two different ways



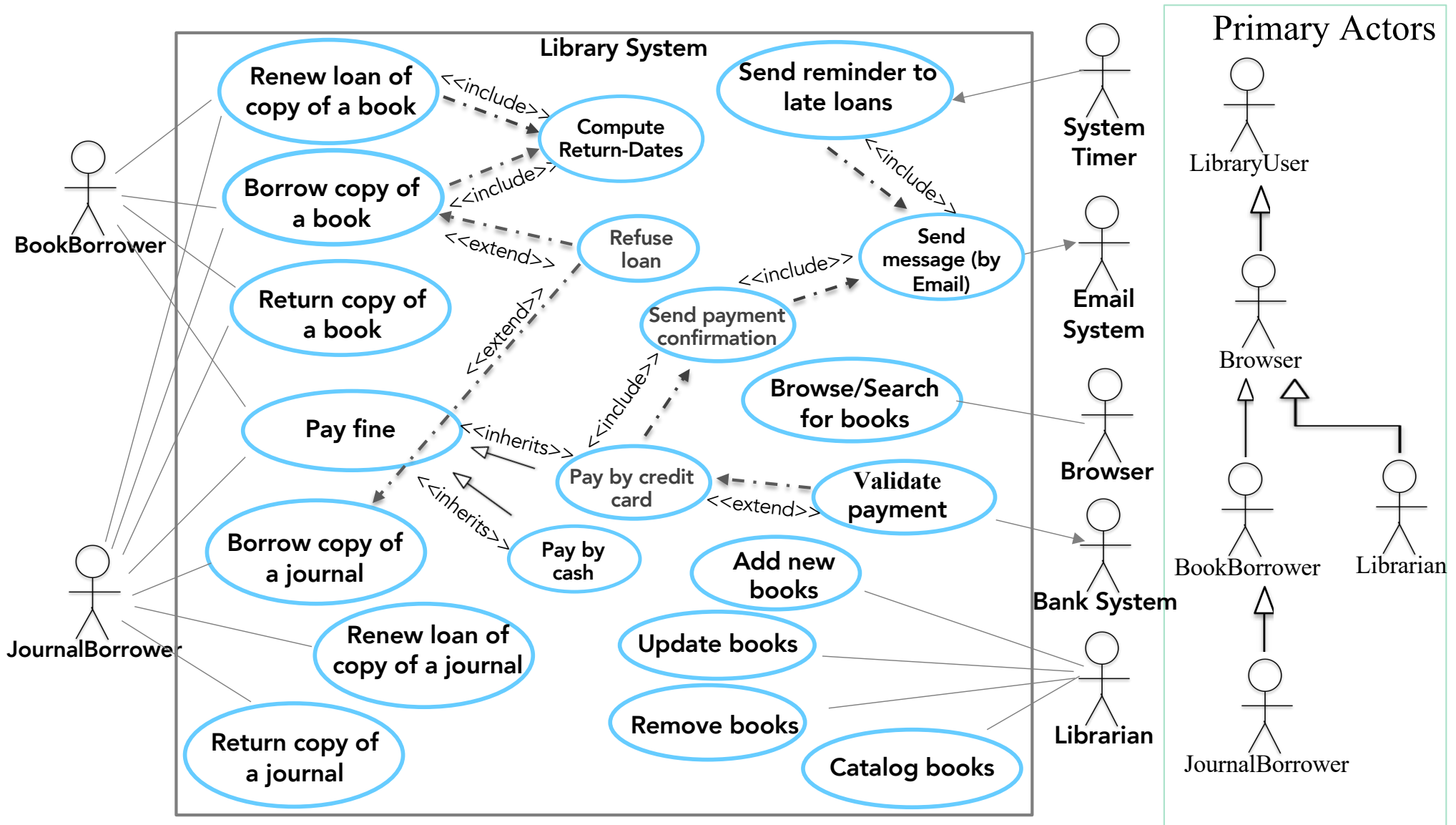
-To be successful, “Pay by credit card”, Bank must valid/approve payment

-For some, “Pay by credit card”, Bank may refuse/not approve payment, thus may fail

# Refinement - <<include>>: Reuse a sub-use case



# Possible Use Cases - with system boundary



# Detailing a use case

Writing a specification for the use case

Good Practice

**Preconditions:** the system state before the case begin (i.e., facts, things that must be true)

**Flow of events;** the steps in the use case (i.e. actions...)

**Postconditions:** the system state after the case has been completed

# Detailing a use case: Example

## **Borrow copy of a book**

### Precondition

1. the BookBorrower is a member of the library
2. the BookBorrower has not got more than the permitted number of books on loan

### Flow of events

1. (the use case starts when) the BookBorrower attempts to borrow a book
2. The system (or librarian) checks if it is ok to borrow a book
3. If Yes..... (Normal path of action)
  - 3.1...
  - 3.2...
- If No.... (an Error/alternative path of action)
  - 3.1...
  - 3.2

### Post-conditions

1. the system has updated the number of books the BookBorrower has on loan



Borrow Copy  
of a book

# Use Case Description: Example 1

## System Name: Library System

Use case Title	<b>Borrow Copy of a Book</b>
Description	A <u>BookBorrower</u> may borrow a copy of a book from the library. A book must exist in the library and available to borrow and will be issued by <u>Librarian</u> . The status of the copy of the book will change to <on-loan> and the loan period of the copy book will be decided by the type of the book: ShortLoan: 2 days, MediumLoan: 2 weeks, LongLoan: 3 months.
Actors	BookBorrower, Librarian
Data	Book information, Borrow information, Book status information
Stimulus/Trigger	User command issued by Librarian on behalf of BookBorrower
Pre-conditions	<ol style="list-style-type: none"><li>1. the BookBorrower is a member of the library</li><li>2. the BookBorrower has not already borrowed more than the permitted number of books on loan</li></ol>
Workflow OR Sequence/Flow of Events	<ol style="list-style-type: none"><li>1. the BookBorrower asks librarian to borrow a book</li><li>2. the system (or librarian) checks if the BookBorrower is allowed to borrow a book</li><li>3. If Yes, 3.1 Librarian records copy of book on BookBorrower borrowed list 3.2 Issues the borrowed Copy of book on loan</li><li>4. Else, if No.... (indicates an alternative or error path of action)</li></ol>
Post-conditions/Response	<ol style="list-style-type: none"><li>1. the system has updated the number of books the BookBorrower has on loan, if successful</li><li>2. Copy of book loan status updated – to &lt;on-loan&gt;, if successful</li></ol>
Comments	The librarian must have appropriate security permissions to access BookBorrow information

# Use Case Description: Example 2

## System Name : Medical System

Use Case Title	<b>TRANSFER PATIENT DATA</b>
Description	A receptionist may transfer data from the MHC-PMS to a general patient record database that is maintained by a health authority. The information transferred may either be updated personal information (address, phone number, etc.) or a summary of the patient's diagnosis and treatment.
Actors	Medical receptionist, patient records system (PRS)
Data	Patient's personal information, treatment summary
Stimulus/Trigger	User command issued by medical receptionist
Pre-conditions	<ol style="list-style-type: none"><li>1. Patient is a member of the clinic</li><li>2. Patient information is access-able</li></ol>
Workflow OR Sequence/Flow of Events	<ol style="list-style-type: none"><li>1. the Medical receptionist select patient records to transfer</li><li>2. Medical receptionist transfers selected patient records to authority</li><li>3. If successful.....,</li><li>4. Else, if not successful.... (indicates an alternative or error path of action)</li></ol>
Post-conditions/Response	Confirmation that PRS has been updated
Comments	The receptionist must have appropriate security permissions to access the patient information and the PRS.

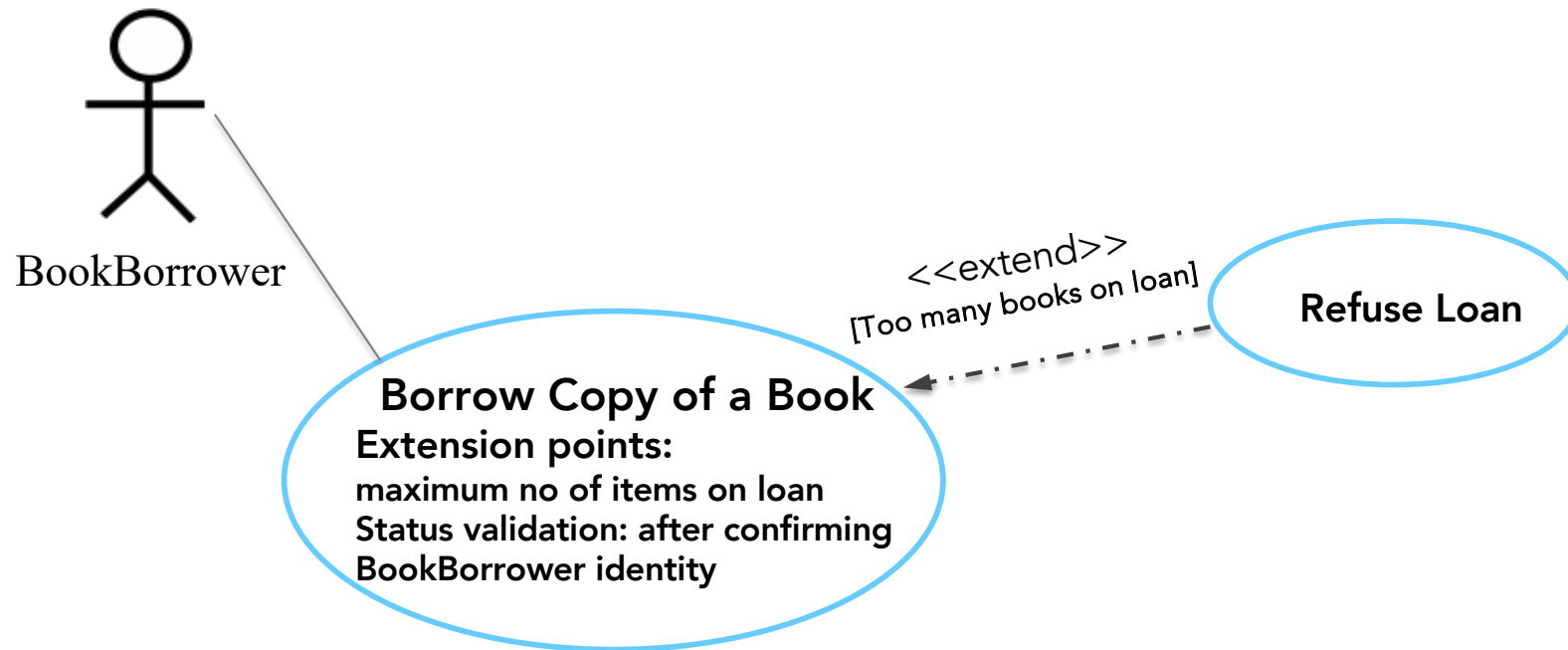
# Scenarios

Each time an actor interacts with a system, the triggered use cases instantiate a scenario

Each case corresponds to a specific path through a use case with no branching

Scenarios are typically documented as text alongside the use case and activity diagrams

# Write the scenarios for this diagram



Since the central/base use case has extension, we expect it may have more than one scenario or alternative flow

# Example: Borrow copy of a book

## Scenario 1

BookBorrower Joe borrows the library's only copy of "Using UML", when he has no other book on loan. The system is updated accordingly.

## Scenario 2

BookBorrower Ann tries to borrow the library's second copy of "Software Engineering", but is refused because she has six books out on loan, which is her maximum allowance.

# Scenario Example: Borrow copy of a book

## **Initial Assumption**

BookBorrower Joe is a member of the library.

## **Normal** (~successful outcome)

BookBorrower Joe borrows from the library a copy of “Using UML”. Joe has no other books on loan, takes the copy of the book to the librarian, who checks Joe’ allowance, scans the copy’s barcode and issues the book to Joe. The system is updated accordingly.

## **Alternative** (~successful outcome)

BookBorrower Joe borrows from the library a copy of “Using UML”. Joe has no other books on loan, Joe takes the copy of the book to auto-librarian, auto-librarian scans Joe’s library ID and the barcode on the copy of the book. It checks Joe’s borrowing allowance, and it automatically issues the book to Joe. The system is updated accordingly.

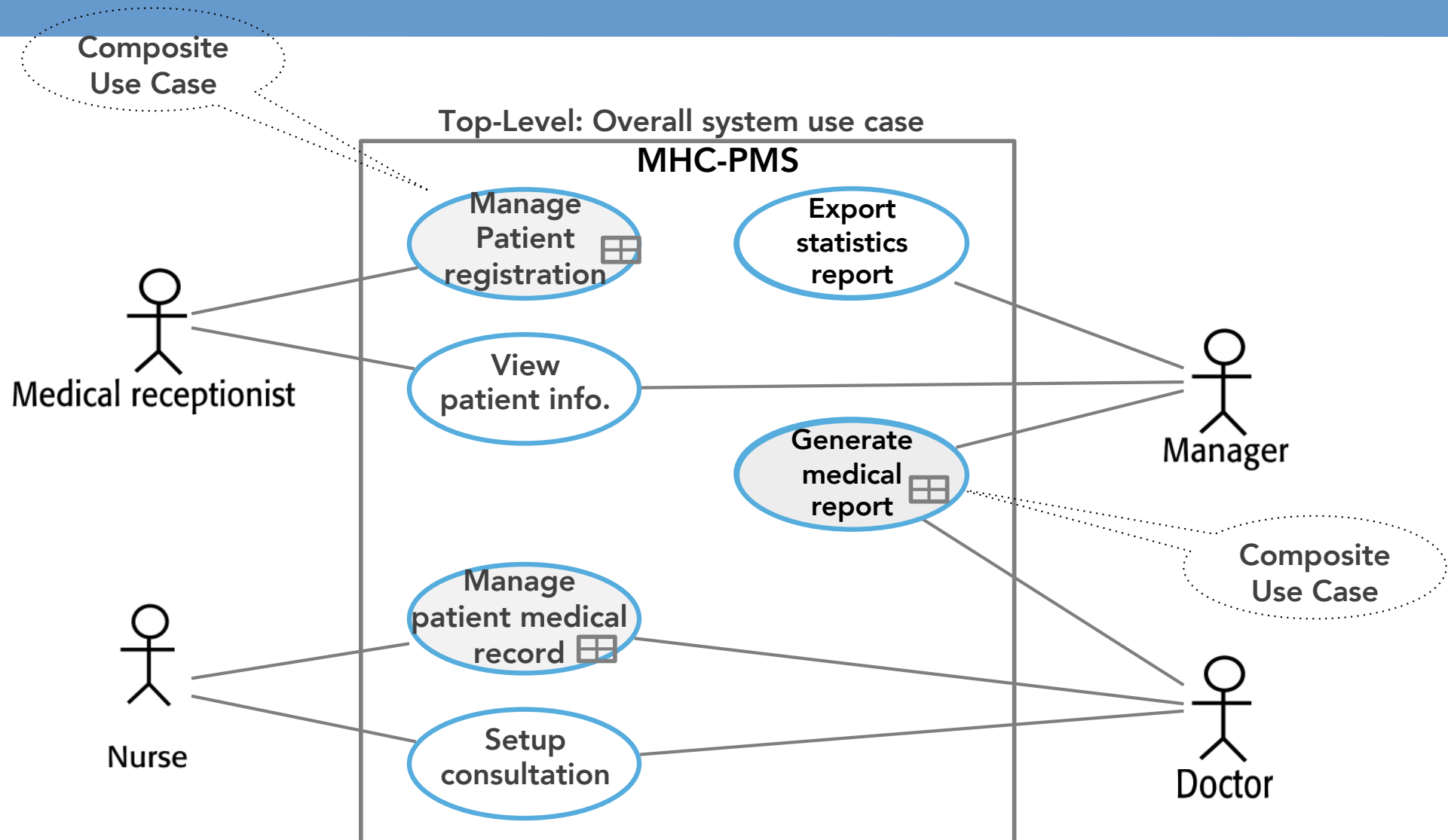
## **Error** (~unsuccessful outcome)

BookBorrower Joe borrows from the library a copy of “Using UML”. Joe takes the book to the the librarian, who checks Joe’ allowance, scans the copy’s barcode, but Joe is refused because he has six books out on loan, which is his maximum allowance.

## **Error** (~unsuccessful outcome)

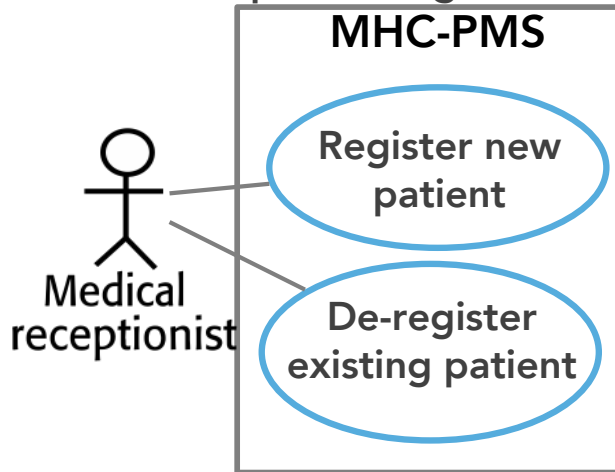
BookBorrower Joe borrows from the library a copy of “Using UML”. Joe takes the book to the the librarian, who checks Joe’ allowance, scans the copy’s barcode, but barcode is damaged, the copy was not issued.

# Multi-level use cases

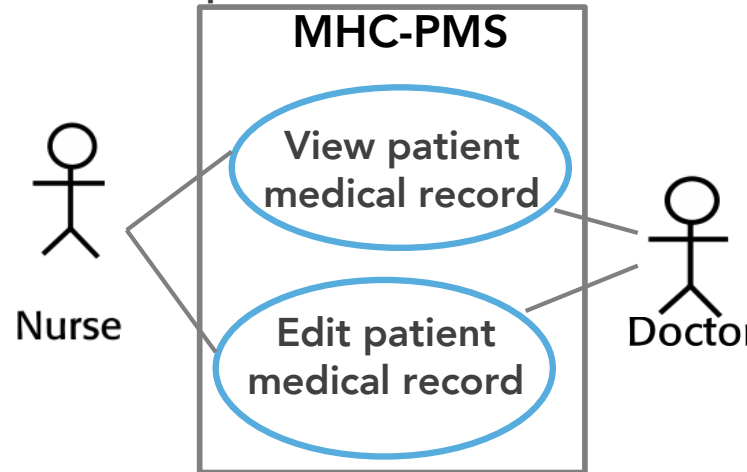


# Multi-level Use cases

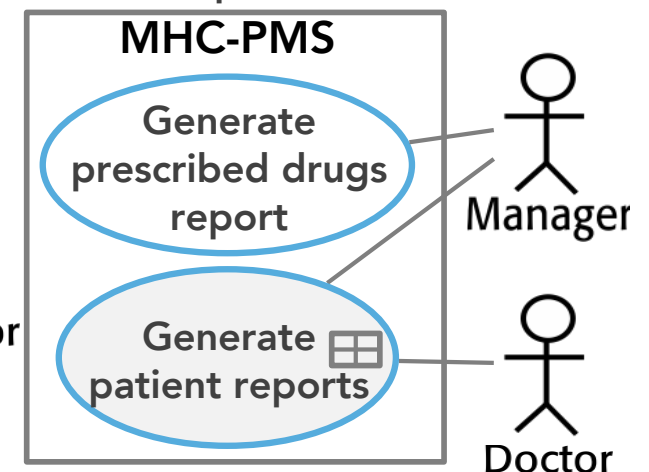
1<sup>st</sup> Level; use case: Manage patient registration



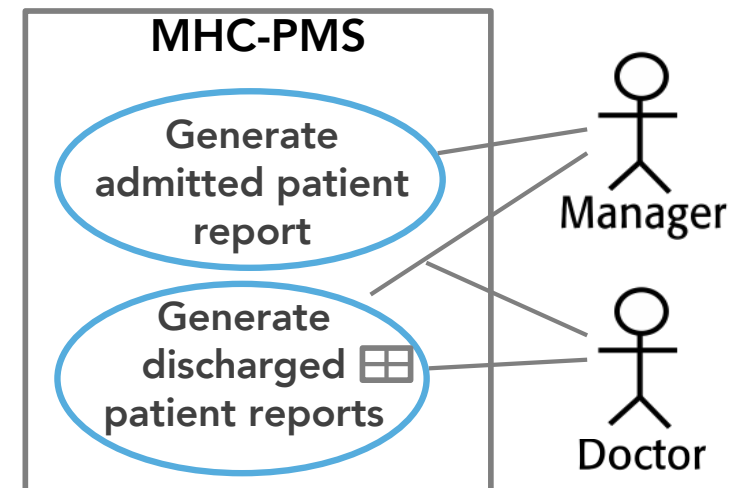
1<sup>st</sup> Level; use case: Manage patient medical record



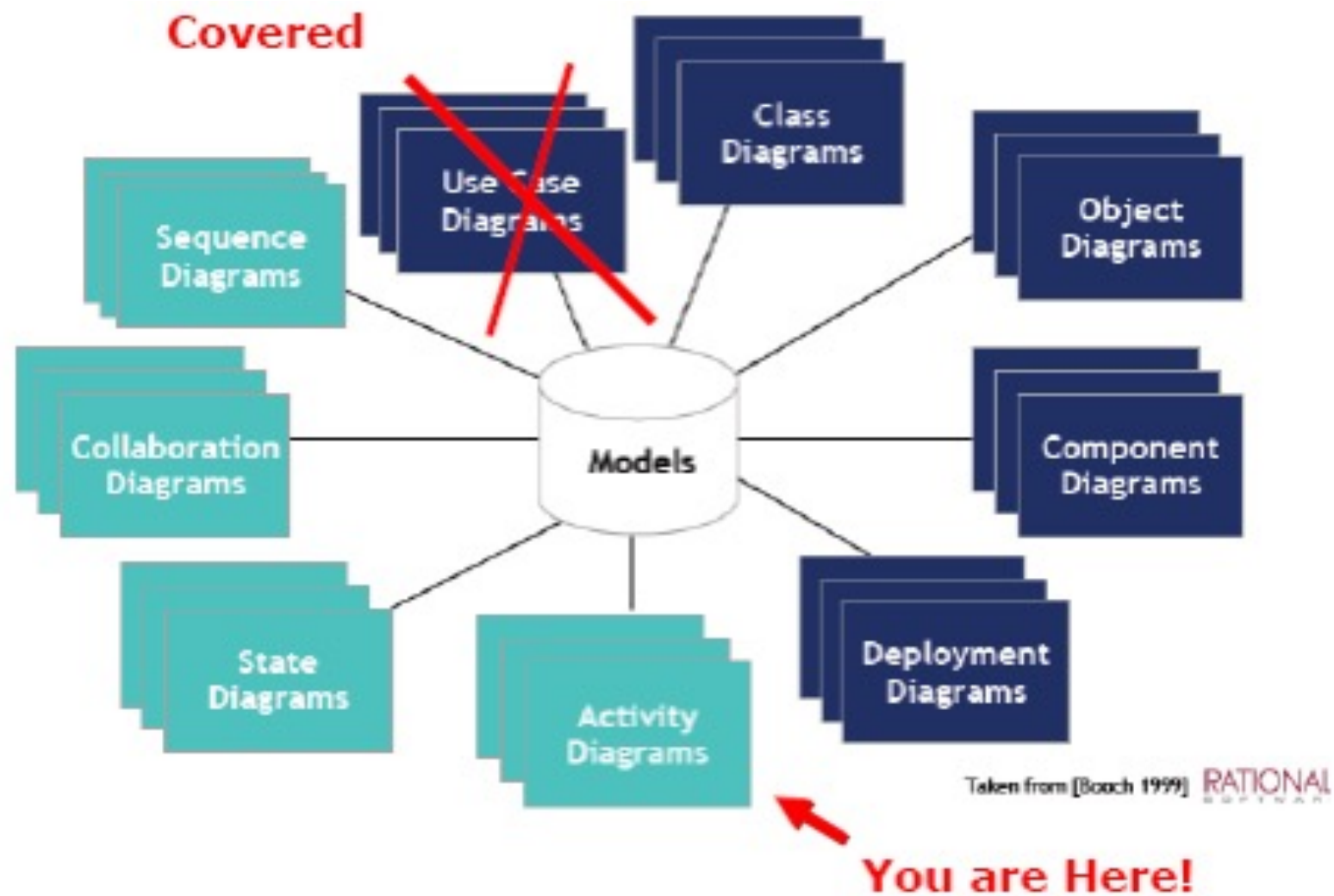
1<sup>st</sup> Level; use case: Generate reports



2<sup>nd</sup> Level; use case: Generate patient reports



# UML Diagrams



# Activity Diagram

Activity diagrams helps to represent Workflows and business processes

They model the **behaviours** (activities) of the system

They show the dependencies and coordination between activities within a system

the activity flow should not get “stuck”

they can be used during the requirements elicitation process ...

-to help identify how use cases interact to achieve business processes

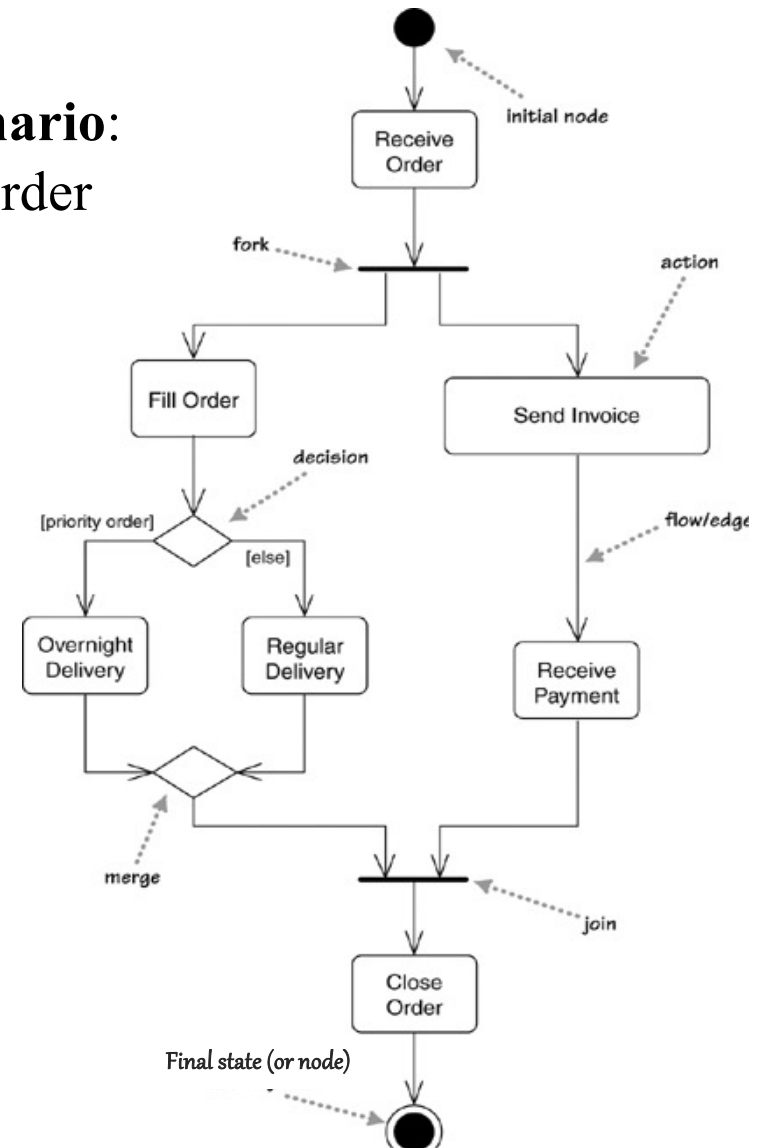
-to help in identifying use cases of a system and operations involved in the realization of a use case

But, generally, they can be attached to any model element to model its **dynamic behaviour**

# Activity Diagram: Example

- *Initial* state and *Final* state define start and end states respectively
- A *Fork*, defines processes with parallel paths, requires a matching *Join*
- A *Decision*, defines conditional paths, requires a matching *Merge*

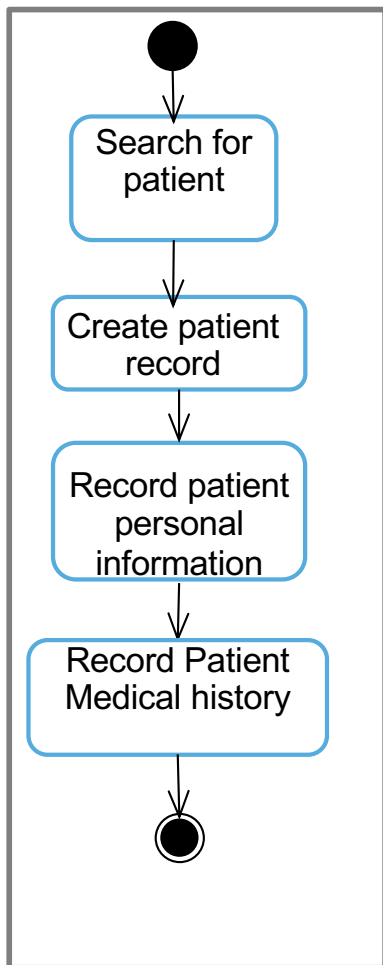
Business scenario:  
e.g. Process order



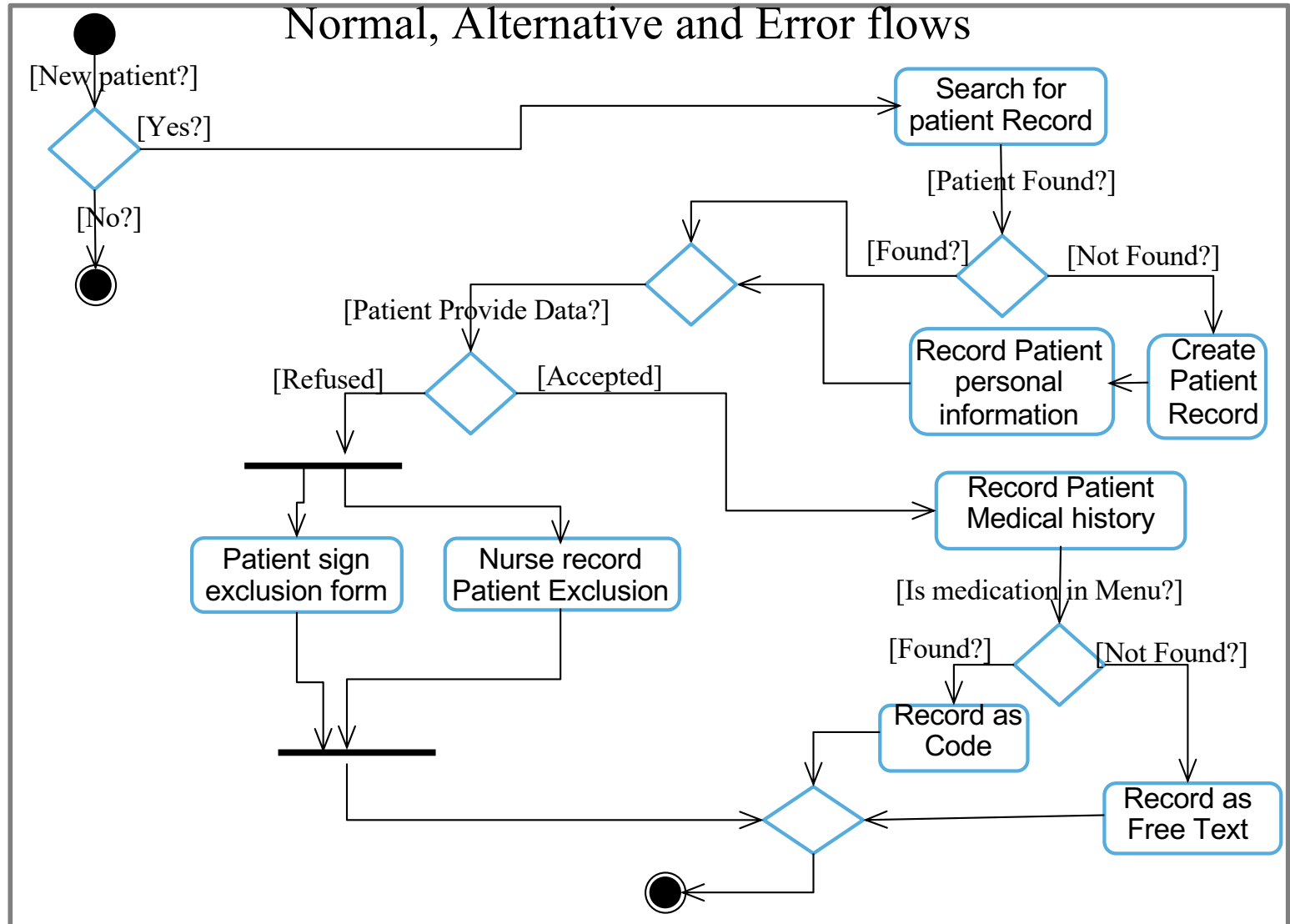
# Activity Diagram: Example

Scenario: Collect Medical History (and Register Patient)

Normal Flow

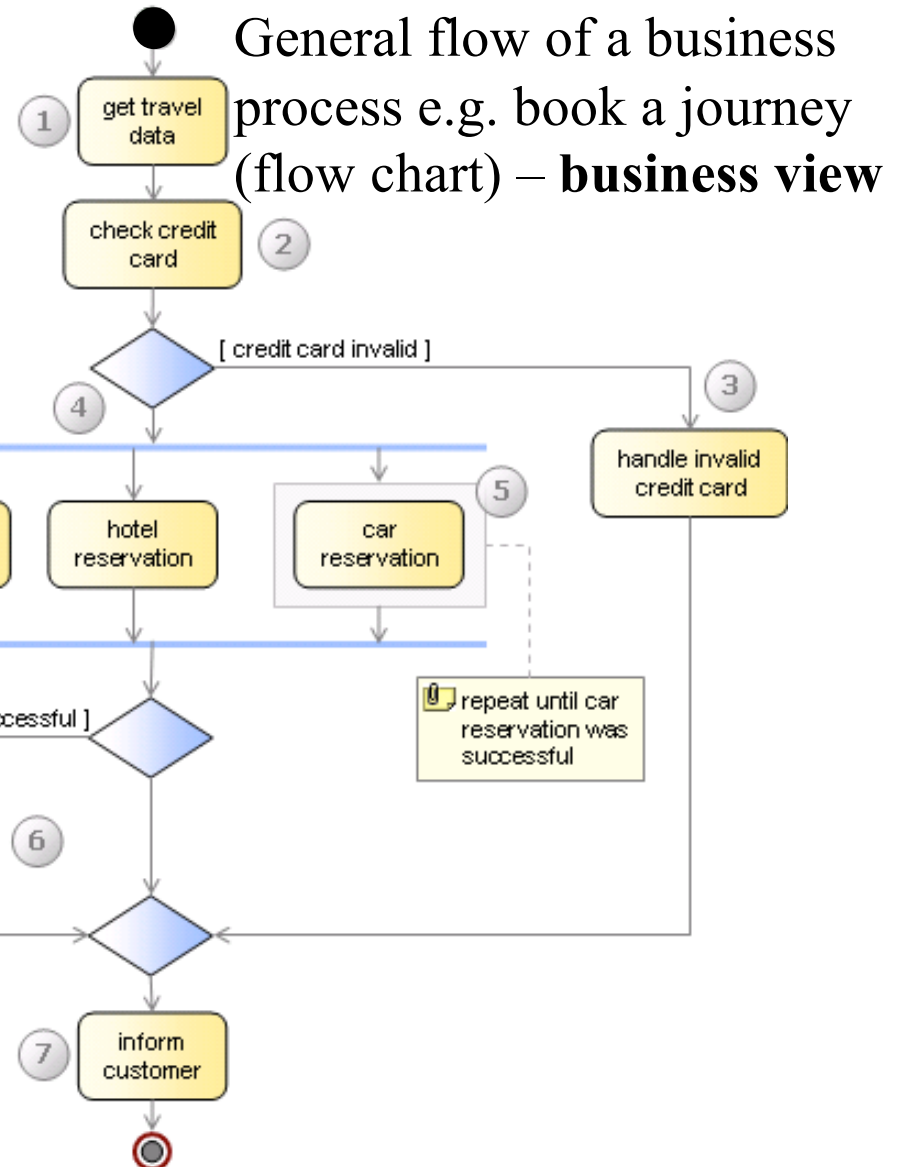
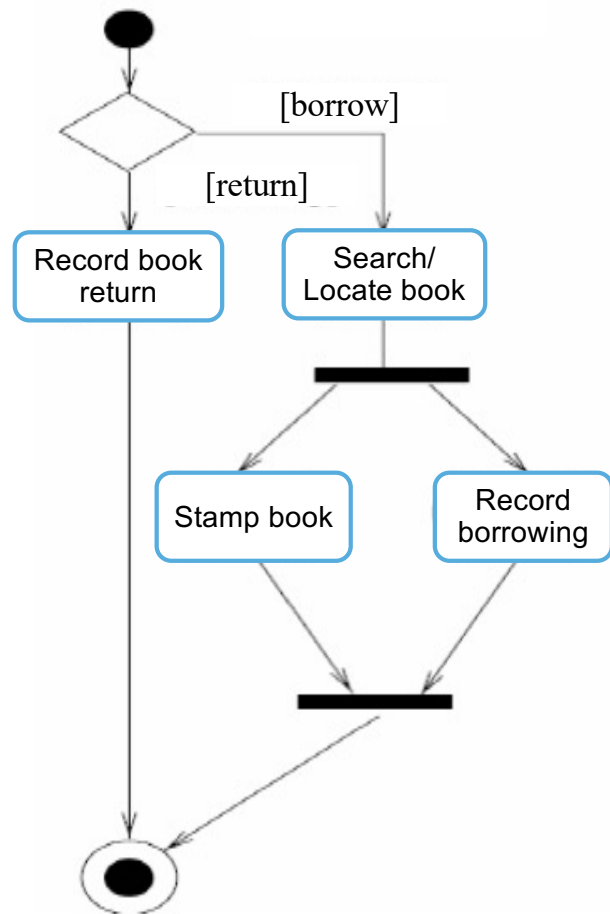


Normal, Alternative and Error flows

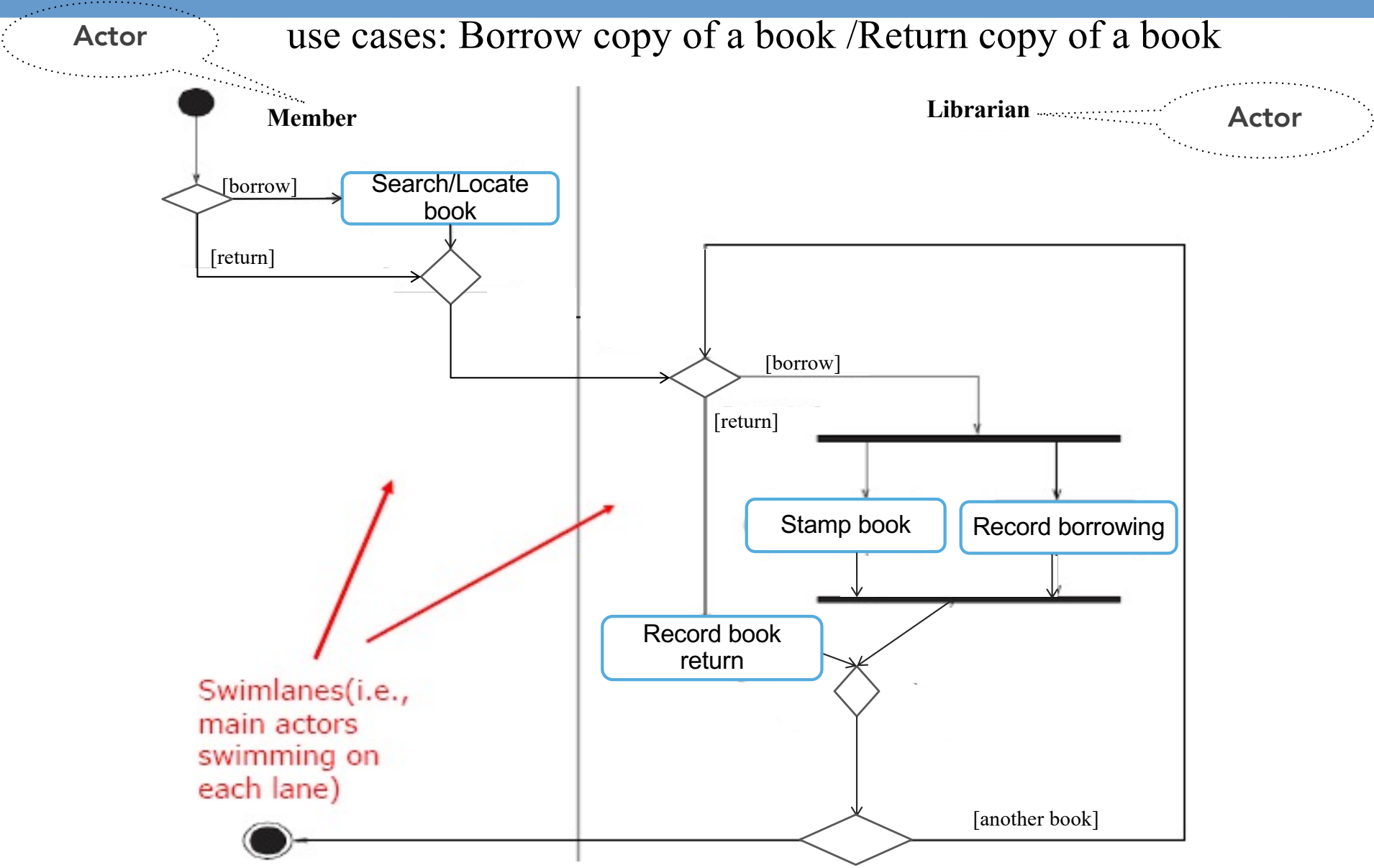


# Activity Diagram: Examples

Specific flow of a use case: e.g. “Borrow copy of a book”-**business process view**

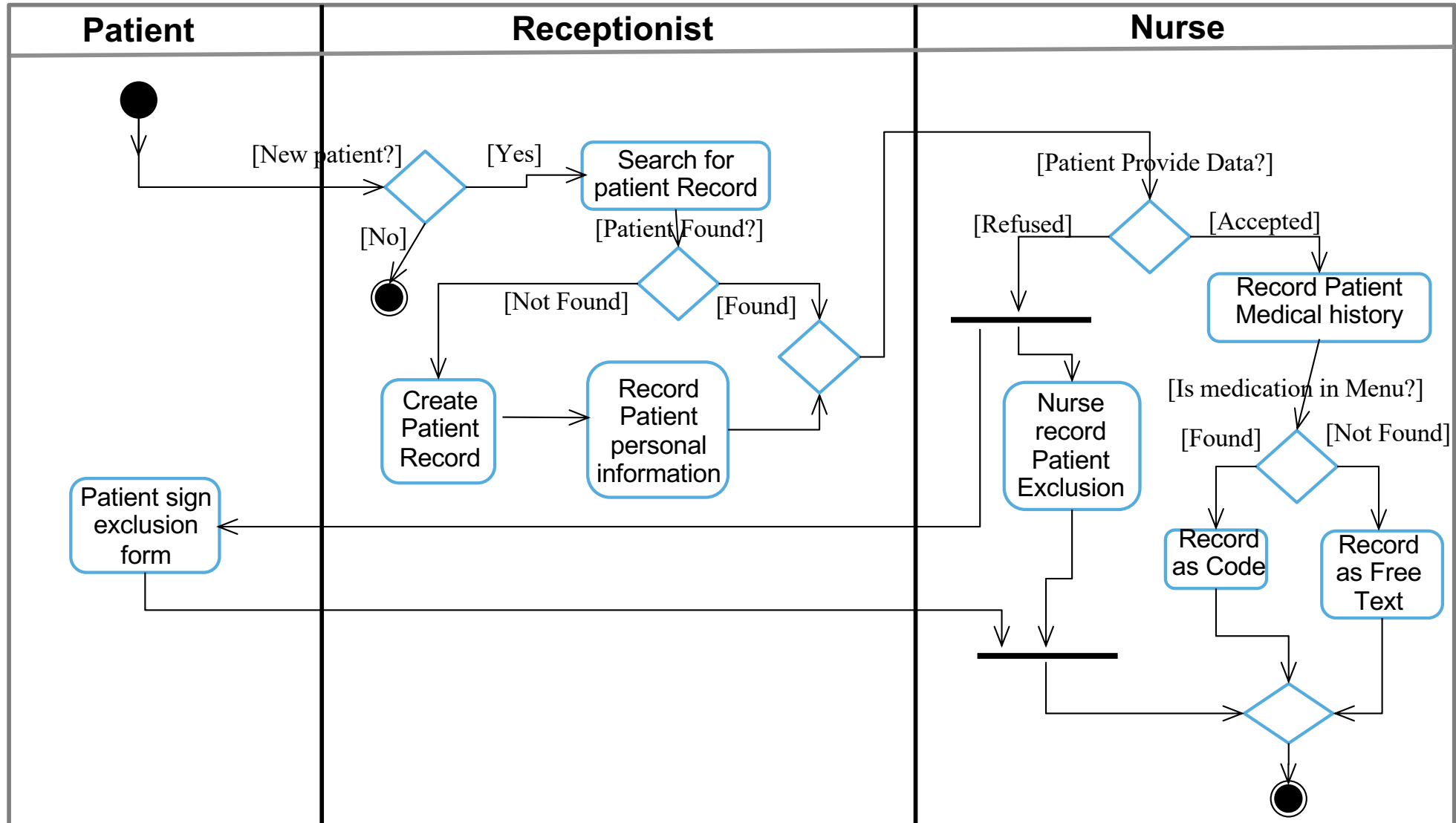


# Activity Diagram-swim lanes: Example of two use cases



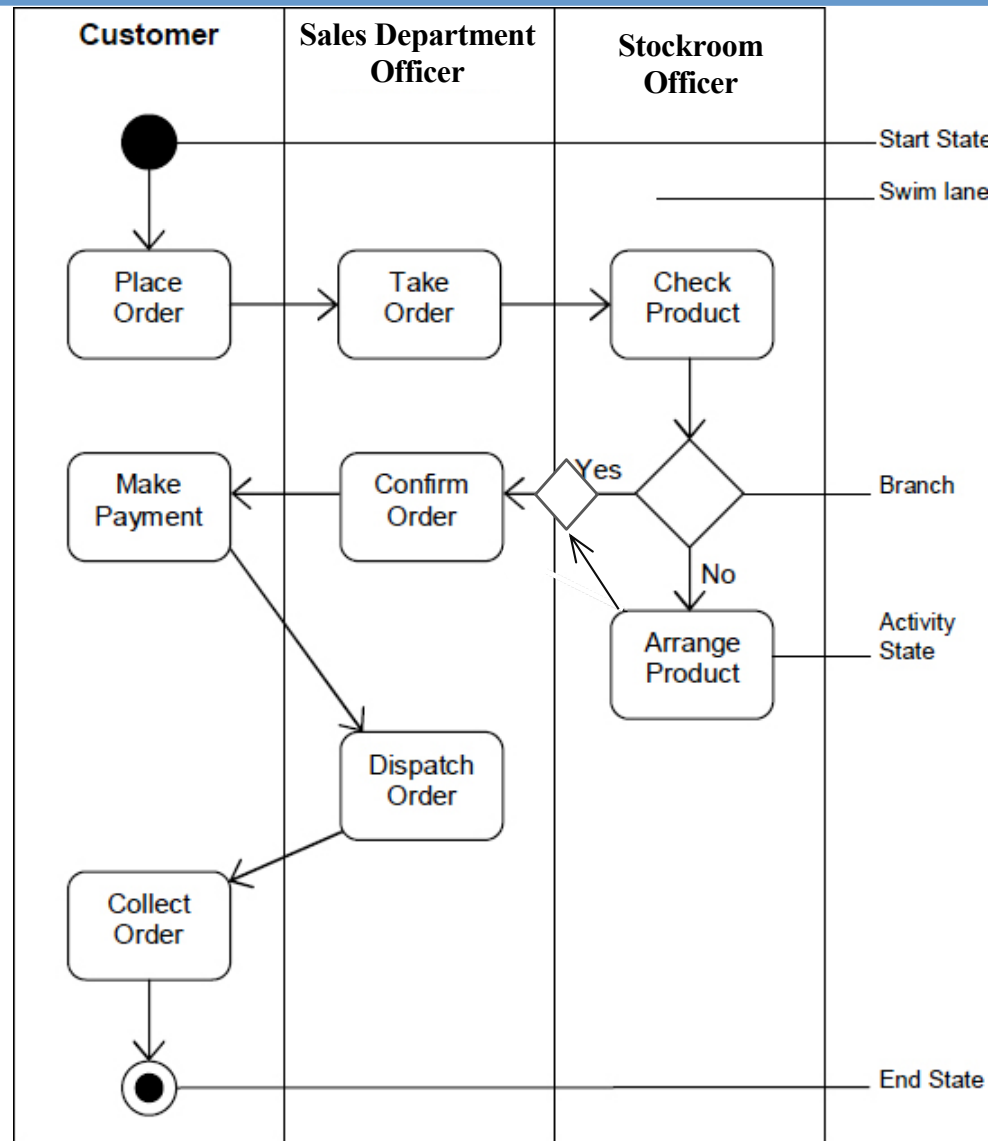
# Activity Diagram: Example- swimlane

Scenario: Collect Medical History (and Register Patient )



# Activity Diagram-swim lanes : Example

General Scenario  
or business  
process: e.g. Sell  
a product –  
**Business view**



Activity Diagram for Product Sale