

CHAPTER 7 · FUNCTIONS

# Properties of functions

One-to-one · onto · bijections · inverses · composition · the pigeonhole principle

# Two questions about the arrows

In 7.1 a function was a rule that gives each input exactly one output. The constraint was only on the *left* (domain) side. Now we ask two questions about the *right* (co-domain) side:

Can two arrows hit the same target?

If never  $\rightarrow$  the function is **one-to-one** (injective). متباينة

Does every target get an arrow?

If yes  $\rightarrow$  the function is **onto** (surjective). شاملة

Both at once  $\rightarrow$  **bijection** (تقابل), which lets us “run the function backward” to get an **inverse**. Then 7.3 chains functions together (composition), and 9.4 turns “not one-to-one” into a powerful counting tool.

## 7.2 One-to-one and onto, inverse functions

### 1. One-to-one functions

2. Onto functions

3. Bijections

4. Inverse functions

# One-to-one functions

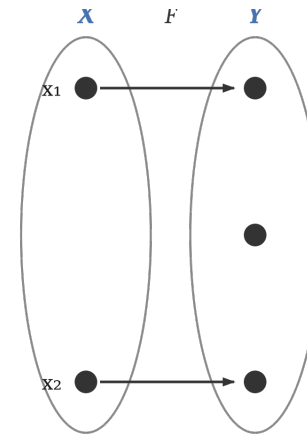
A function  $F : X \rightarrow Y$  is **one-to-one** (injective) if distinct inputs always give distinct outputs.

***F is one-to-one***  $\Leftrightarrow$

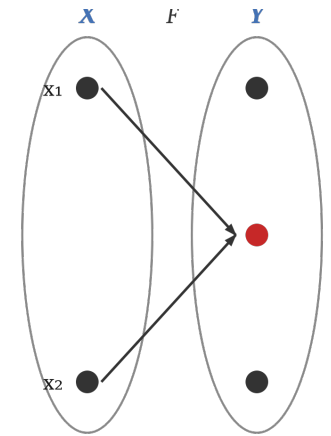
$\forall x_1, x_2 \in X$ , if  $F(x_1) = F(x_2)$  then  $x_1 = x_2$ .

(equivalently:

$x_1 \neq x_2 \Rightarrow F(x_1) \neq F(x_2)$  )



*separates (one-to-one)*



*collapses (not one-to-one)*

**Picture:** a one-to-one function **separates points** — no target receives two arrows. A function that is not one-to-one **collapses** two points onto one.

# Proving — and disproving — one-to-one

$F$  is NOT one-to-one  $\Leftrightarrow \exists x_1, x_2 \in X$  with  $F(x_1) = F(x_2)$  and  $x_1 \neq x_2$ .

Two opposite jobs, two opposite methods:

## To PROVE one-to-one

*(direct proof)*

Suppose  $F(x_1) = F(x_2)$  for arbitrary  $x_1, x_2$ . Work algebraically to conclude  $x_1 = x_2$ .

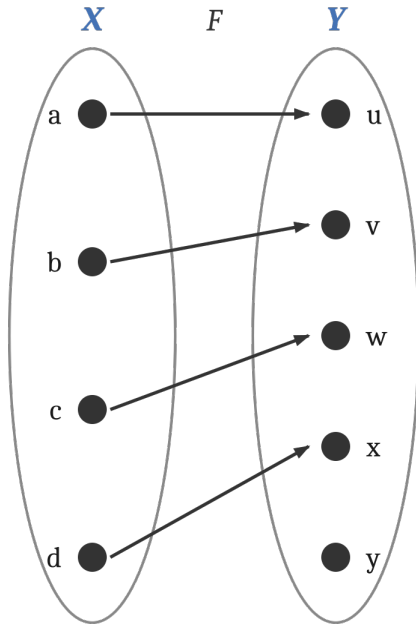
## To DISPROVE one-to-one

*(counterexample)*

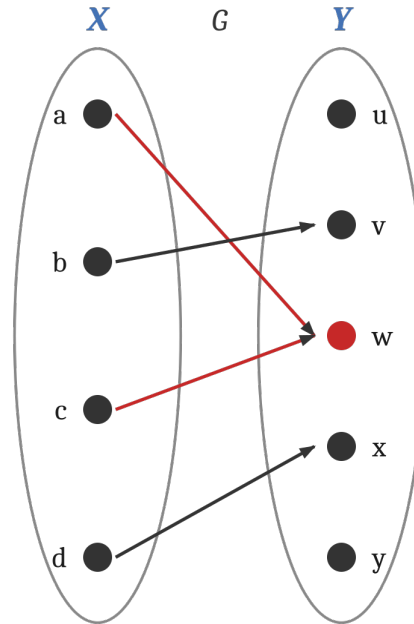
Exhibit two specific unequal inputs  $x_1 \neq x_2$  with the same output  $F(x_1) = F(x_2)$ . One pair is enough.

*On finite sets you can just inspect the arrows; on infinite sets you must argue. We do one of each next.*

## Example 7.2.1 — one-to-one on finite sets



**F: one-to-one ✓**



**G: not one-to-one ✗**

$F$  sends the four inputs to four different outputs, so no two collide — one-to-one.

$G$  sends both  $a$  and  $c$  to  $w$ :  $G(a) = G(c) = w$  but  $a \neq c$ . That single collision is enough — not one-to-one.

**Also (no diagram needed):**  $X = \{1, 2, 3\}$ ,  $Y = \{a, b, c, d\}$ .

$H(1)=c, H(2)=a, H(3)=d$  — all different  $\rightarrow$  one-to-one.

$K(1)=d, K(2)=b, K(3)=d$  —  $K(1)=K(3)$  but  $1 \neq 3 \rightarrow$  not.

## Example 7.2.2 — one-to-one on infinite sets

$$f: \mathbb{R} \rightarrow \mathbb{R}, f(x) = 4x - 1$$

**Claim: one-to-one ✓**

Proof. Suppose  $f(x_1) = f(x_2)$ . Then

$$4x_1 - 1 = 4x_2 - 1.$$

$$\text{Add 1: } 4x_1 = 4x_2.$$

Divide by 4:  $x_1 = x_2$ . ■

$$g: \mathbb{Z} \rightarrow \mathbb{Z}, g(n) = n^2$$

**Claim: not one-to-one ✗**

Counterexample.

$$g(2) = 4 \quad \text{and} \quad g(-2) = 4,$$

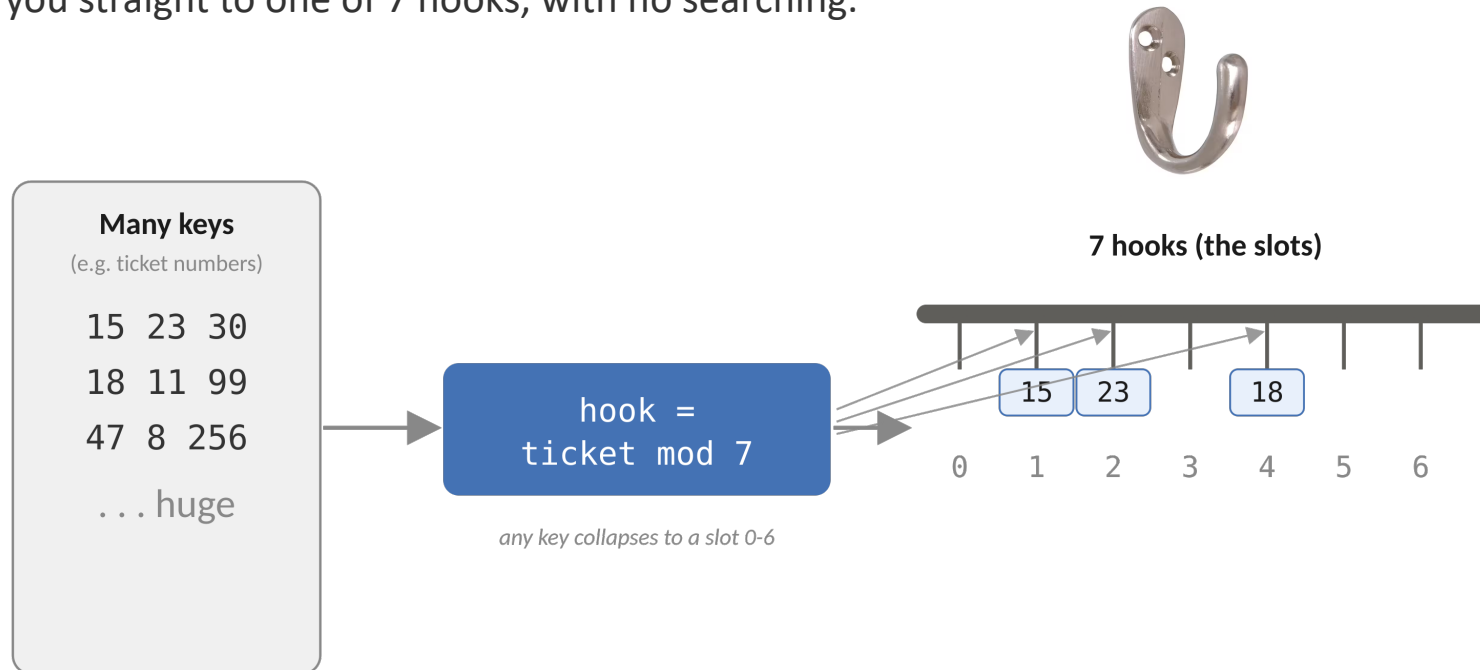
$$\text{so } g(2) = g(-2) \text{ but } 2 \neq -2.$$

Two different inputs, one output — the definition of one-to-one fails, so  $g$  is not one-to-one.

*Same shape of rule, different verdict — the domain matters. Squaring fails because it forgets the sign.*

# Why hash functions?

A **hash function** turns any key into a small, fixed slot number instantly — like a cloakroom attendant who reads your ticket and sends you straight to one of 7 hooks, with no searching.



## Where it's used

**Hash tables & maps** — instant key → value (a Python dict, a JS map).

**Databases** — jump straight to a record instead of scanning.

**Caches** — answer “seen this before?” in one step.

**Integrity & dedup** — file checksums, Git commit IDs.

**Passwords** — store the hash, never the secret itself.

**Why it's fast:** instead of searching every record, you compute one slot and go there — near constant-time lookup. The trade-off: many keys squeezed into few slots, so a hash can never be one-to-one (collisions — next).

# Hashing in action (Example 7.2.3)

Insert these keys in order:

$$15 \bmod 7 = 1$$

$$23 \bmod 7 = 2$$

$$30 \bmod 7 = 2 \quad \text{collision}$$

$$18 \bmod 7 = 4$$

$$11 \bmod 7 = 4 \quad \text{collision}$$

A collision means the home slot is taken, so we walk down to the next free slot.

home slot       landed after a collision (probe down)

Table (slots 0-6)

0	—
1	15
2	23
3	30 <small>from 2</small>
4	18
5	11 <small>from 4</small>
6	—

## The rule

$\text{Hash}(n) = n \bmod 7$  — key  $n \rightarrow$  slot 0–6.

## Collisions

If the home slot is taken, walk down to the next free slot (wrapping at the bottom). Here 30 lands in slot 3 and 11 in slot 5. This is *linear probing*.

## Finding a record

Recompute  $\text{Hash}(n)$  and search down from the home slot until you reach it — or an empty slot, which means it was never stored.

**Collisions are unavoidable:** with far more keys than slots, the hash cannot be one-to-one — two keys must eventually share a slot. That is exactly the pigeonhole principle (§9.4). Good hashing just spreads keys evenly and resolves the rare clash cheaply.

## 7.2 One-to-one and onto, inverse functions

1. One-to-one functions

**2. Onto functions**

3. Bijections

4. Inverse functions

# Onto functions

A function  $F : X \rightarrow Y$  is **onto** (surjective) if every element of  $Y$  is the image of at least one element of  $X$ . Then range = co-domain.

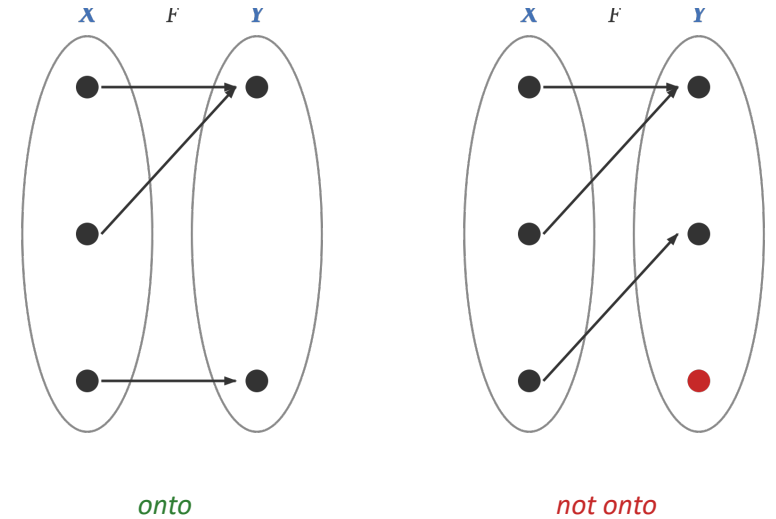
**$F$  is onto**  $\Leftrightarrow$

$\forall y \in Y, \exists x \in X$  such that  $F(x) = y$ .

**$F$  is not onto**  $\Leftrightarrow$

$\exists y \in Y$  such that  $\forall x \in X, F(x) \neq y$ .

**Picture:** onto means every target dot has at least one arrow arriving. Not onto means some target dot is missed entirely (the red dot).



# Proving — and disproving — onto

Two opposite jobs, two opposite methods:

## To PROVE onto

*(generalise from the generic particular)*

Take an arbitrary  $y \in Y$ . Produce a specific  $x \in X$  (built from  $y$ ) and verify  $F(x) = y$ . Two duties:  $x$  lies in  $X$ , and  $F$  really sends it to  $y$ .

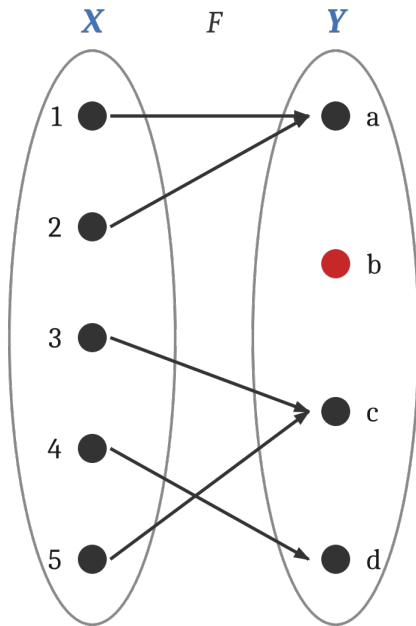
## To DISPROVE onto

*(exhibit a missed target)*

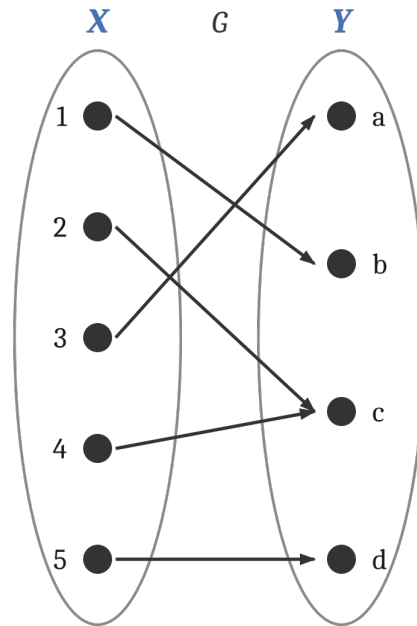
Find one specific  $y \in Y$  for which no  $x \in X$  gives  $F(x) = y$ . A single un-hit element is enough.

**Scratch work tip:** *to find the  $x$ , solve  $F(x) = y$  for  $x$ . If the solution always lands inside  $X$ ,  $F$  is onto; if it can fall outside  $X$ , that points you to a counterexample.*

## Example 7.2.4 — onto on finite sets



**F: not onto**



**G: onto ✓**

**F** misses **b** — no input maps to it, so  $F$  is not onto. One un-hit target is enough.

**G** hits every target:  $a = G(2)$ ,  $b = G(1)$ ,  $c = G(3) = G(4)$ ,  $d = G(5)$ . Every element of  $Y$  is an image, so  $G$  is onto.

**On finite sets:** onto just means every right-hand dot has an arrow. Note  $G$  is onto but *not* one-to-one ( $c$  is hit twice) — the two properties are independent.

## Example 7.2.5 — onto on infinite sets

$$f: \mathbb{R} \rightarrow \mathbb{R}, f(x) = 4x - 1$$

**Claim: onto ✓**

Let  $y \in \mathbb{R}$ . Solve  $4x - 1 = y$ :

$$x = (y + 1) / 4.$$

This  $x$  is a real number, and

$$f(x) = 4 \cdot (y+1)/4 - 1 = y.$$

Every real  $y$  is reached, so  $f$  is onto.

$$h: \mathbb{Z} \rightarrow \mathbb{Z}, h(n) = 4n - 1$$

**Claim: not onto ✗**

Same algebra gives  $n = (m + 1) / 4$ ,  
which need not be an integer.

**Counterexample:  $m = 0$ .**

$$4n - 1 = 0 \Rightarrow n = 1/4 \notin \mathbb{Z}.$$

So 0 is in the co-domain but has no integer preimage —  $h$  is not onto.

**Same rule, different domain, opposite verdict.** Over  $\mathbb{R}$  the solved-for  $x$  always exists; over  $\mathbb{Z}$  it can fall between integers. Domain and co-domain decide everything.

## 7.2 One-to-one and onto, inverse functions

1. One-to-one functions
2. Onto functions
- 3. Bijections**
4. Inverse functions

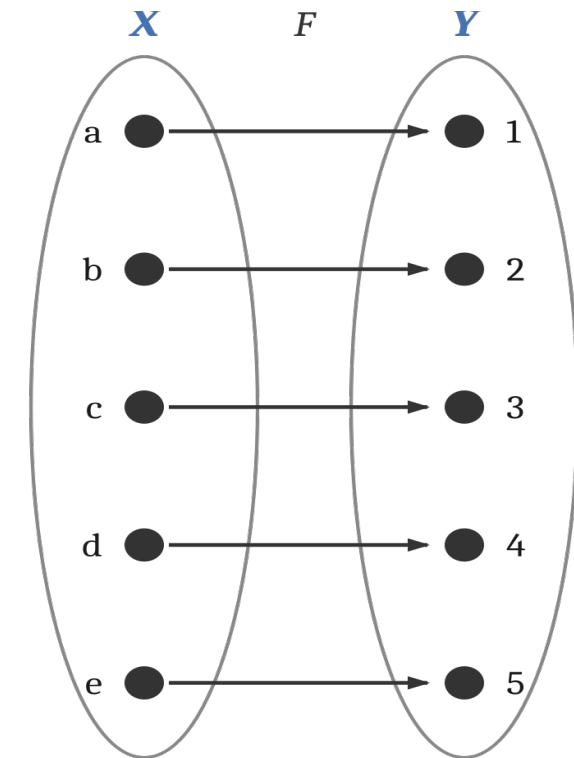
# Bijections (one-to-one correspondences)

A **bijection** (one-to-one correspondence) from  $X$  to  $Y$  is a function  $F : X \rightarrow Y$  that is **both one-to-one and onto**.

Such an  $F$  pairs the two sets perfectly: each  $x \in X$  matches exactly one  $y \in Y$ , and each  $y \in Y$  matches exactly one  $x \in X$ .

## Why bijections are important

1. They can be reversed — a bijection has an inverse function (next).
2. They count: a bijection  $X \leftrightarrow Y$  proves  $|X| = |Y|$ . The pairing  $a\text{--}e \leftrightarrow 1\text{--}5$  shows  $X$  has 5 elements.

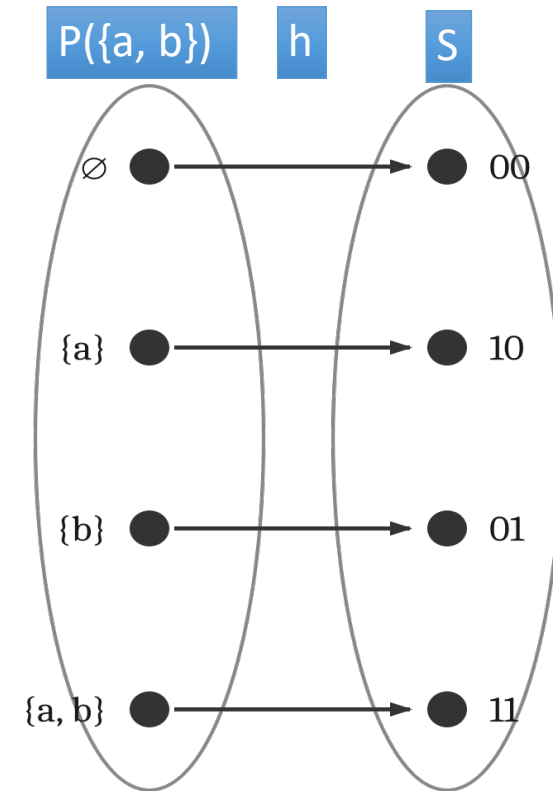


## Example 7.2.8 — a bijection: subsets $\leftrightarrow$ bit strings

Let  $h : P(\{a, b\}) \rightarrow S$ , where  $S$  is all length-2 strings of 0's and 1's. Rule: position 1 is 1 iff  $a \in A$ ; position 2 is 1 iff  $b \in A$ .

Subset A	a ?	b ?	$h(A)$
$\emptyset$	no	no	00
{a}	yes	no	10
{b}	no	yes	01
{a, b}	yes	yes	11

**$h$  is a bijection:** onto (every string is hit) and one-to-one (no string is hit twice).  
The four subsets pair exactly with the four strings.



## 7.2 One-to-one and onto, inverse functions

1. One-to-one functions
2. Onto functions
3. Bijections
- 4. Inverse functions**

# Inverse functions (Theorem 7.2.2)

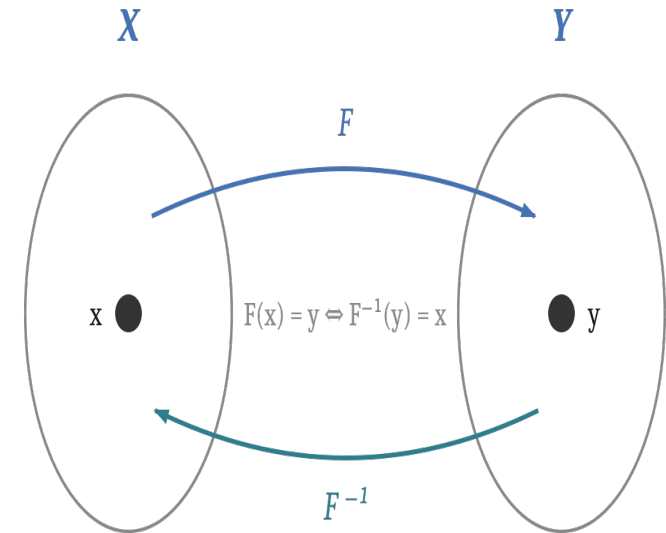
If  $F : X \rightarrow Y$  is a **bijection**, then it has an inverse function  $F^{-1} : Y \rightarrow X$  that undoes it.

$$F^{-1}(y) = \text{the unique } x \in X \text{ with } F(x) = y$$

$$F^{-1}(y) = x \Leftrightarrow y = F(x)$$

**Why a bijection is required:** onto guarantees every  $y$  has a source (so  $F^{-1}$  is defined everywhere); one-to-one guarantees that source is unique (so  $F^{-1}$  is single-valued).

**Back to 7.1:**  $\log_b$  is exactly the inverse of the exponential function  $b^x$  — each undoes the other.



# Finding inverses (Examples 7.2.11, 7.2.13)

## From an arrow diagram (7.2.11)

Reverse the arrows of  $h$  (Example 7.2.8):

$$h^{-1}(00) = \emptyset$$

$$h^{-1}(10) = \{a\}$$

$$h^{-1}(01) = \{b\}$$

$$h^{-1}(11) = \{a, b\}$$

## From a formula (7.2.13)

$f: \mathbb{R} \rightarrow \mathbb{R}$ ,  $f(x) = 4x - 1$  (a bijection).

Solve  $y = 4x - 1$  for  $x$ :

$$x = (y + 1) / 4.$$

So  $f^{-1}(y) = (y + 1) / 4$ .

Check:  $f^{-1}(f(x)) = (4x - 1 + 1) / 4 = x$ . ✓

**Two ways, one idea:** *the inverse just reads each arrow backward. For a formula, that means solving  $y = F(x)$  for  $x$  in terms of  $y$ .*

# Logarithmic functions and the laws of exponents

The exponential function  $\exp_b(x) = b^x$  and the logarithm  $\log_b$  are both one-to-one and onto, so each is the inverse of the other:

$$\log_b x = y \Leftrightarrow b^y = x$$

*This is the inverse relationship from the previous part, now named:  $\log_b = (\exp_b)^{-1}$ .*

**Why this is important:** logarithms turn exponential questions into linear ones — the engine behind measuring information, complexity, and scale in computing.

## Laws of exponents

$$b^u b^v = b^{u+v}$$

$$(b^u)^v = b^{uv}$$

$$b^u / b^v = b^{u-v}$$

$$(bc)^u = b^u c^u$$

# Theorem 7.2.1 — properties of logarithms

For positive real numbers  $b, c, x$  with  $b \neq 1$  and  $c \neq 1$ :

**a.**  $\log_b(xy) = \log_b x + \log_b y$  *product  $\rightarrow$  sum*

**b.**  $\log_b(x / y) = \log_b x - \log_b y$  *quotient  $\rightarrow$  difference*

**c.**  $\log_b(x^a) = a \cdot \log_b x$  *power  $\rightarrow$  coefficient*

**d.**  $\log_c x = (\log_b x) / (\log_b c)$  *change of base*

All four follow from the laws of exponents plus the one-to-oneness of the exponential function — proved next for (d).

# Product rule for logarithms (Theorem 7.2.1a)

$$\log_b(xy) = \log_b x + \log_b y \quad (\text{for } b \neq 1 \text{ and } x, y > 0)$$

## Proof

Use the definition  $\log_b z = w \Leftrightarrow b^w = z$ .

1. Let  $u = \log_b x$ ,  $v = \log_b y$ .
2. Then  $x = b^u$ ,  $y = b^v$ . (*definition*)
3.  $xy = b^u \cdot b^v = b^{u+v}$ . (*exponent law*)
4. So  $\log_b(xy) = u + v$ . (*definition, backward*)
5. =  $\log_b x + \log_b y$ . (*substitute*)

## The idea

Multiplication becomes addition. The product rule is just the exponent law  $b^u \cdot b^v = b^{u+v}$  read through the inverse: logs report exponents, and multiplying powers adds them.

### Check (b = 10):

$$\begin{aligned} \log(100 \cdot 1000) &= \log 100000 = 5, \\ \log 100 + \log 1000 &= 2 + 3 = 5. \quad \checkmark \end{aligned}$$

**Same recipe as change of base:** name the exponents, apply one law of exponents, then read the definition back. Properties (b) and (c) follow the same way — from the quotient and power laws of exponents.

# Change of base — proof and use (Examples 7.2.6, 7.2.7)

$$\log_c x = (\log_b x) / (\log_b c)$$

## Proving (d) with one-to-oneness of exp

Let  $u = \log_b c$ ,  $v = \log_c x$ ,  $w = \log_b x$ .

Then  $c = b^u$ ,  $x = c^v$ ,  $x = b^w$ .

$x = c^v = (b^u)^v = b^{uv}$ , and also  $x = b^w$ .

So  $b^{uv} = b^w$ , and exp is one-to-one, so  $uv = w$ .

$$(\log_b c)(\log_c x) = \log_b x \Rightarrow \log_c x = (\log_b x) / (\log_b c).$$

## Using it: compute $\log_2 5$

Most calculators have ln (base e) but no base-2 key. By (d):

$$\begin{aligned}\log_2 5 &= (\ln 5) / (\ln 2) \\ &\approx 1.6094 / 0.6931 \\ &\approx \mathbf{2.3219}.\end{aligned}$$

*Any convenient base  $b$  works — the ratio is the same.*

**Takeaway:** the one-to-oneness of a function is not just a definition to check — it is a proof tool, here turning an exponent equality into a logarithm identity.

# Recommended exercises — Section 7.2

Epp, 4th edition — Section 7.2 (p. 413)

1, 8, 12, 13, 17, 18, 23, 25, 27, 33, 35, 43

**What they drill:** testing one-to-one and onto from diagrams and formulas (1–18); bijections and counting (23–27); inverse functions; and logarithm properties / change of base (33–35).

**Next:** 7.3 — composition of functions, then 9.4 — the pigeonhole principle.

## 7.3 Composition of functions

### 1. Definition of composition

2. Worked examples

3. Identity and inverse

4. Preserving one-to-one and onto

# Composition of functions

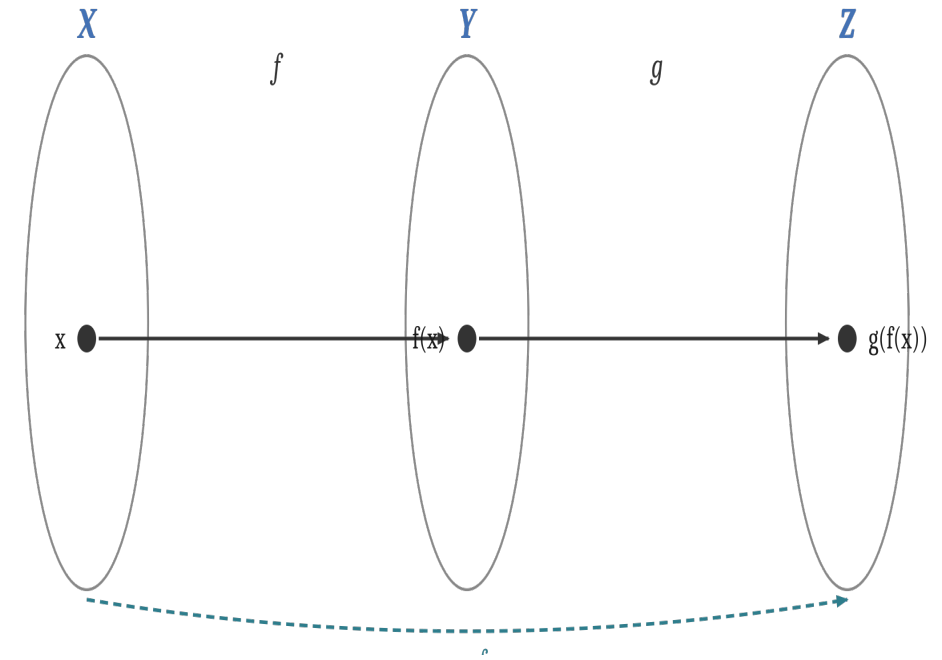
Given  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  (with range of  $f$  inside the domain of  $g$ ), the **composition**  $g \circ f : X \rightarrow Z$  is

$$(g \circ f)(x) = g(f(x)) \text{ for all } x \in X.$$

Read “g circle f”.  $f$  acts first, then  $g$  — apply right-to-left.

**Caution.**  $g \circ f$  is the **name of a function**;  $g(f(x))$  is its **value** at  $x$ . The composition exists only if  $\text{range}(f) \subseteq \text{domain}(g)$ .

**Machine picture:** two machines in series —  $x$  enters  $f$ , the output  $f(x)$  feeds straight into  $g$ , and  $g(f(x))$  comes out.



## Example 7.3.1 — order matters

Let  $f, g : \mathbb{Z} \rightarrow \mathbb{Z}$  be  $f(n) = n + 1$  (successor) and  $g(n) = n^2$  (squaring).

$$\begin{aligned}(g \circ f)(n) &= g(f(n)) \\ &= g(n + 1) = (n + 1)^2\end{aligned}$$

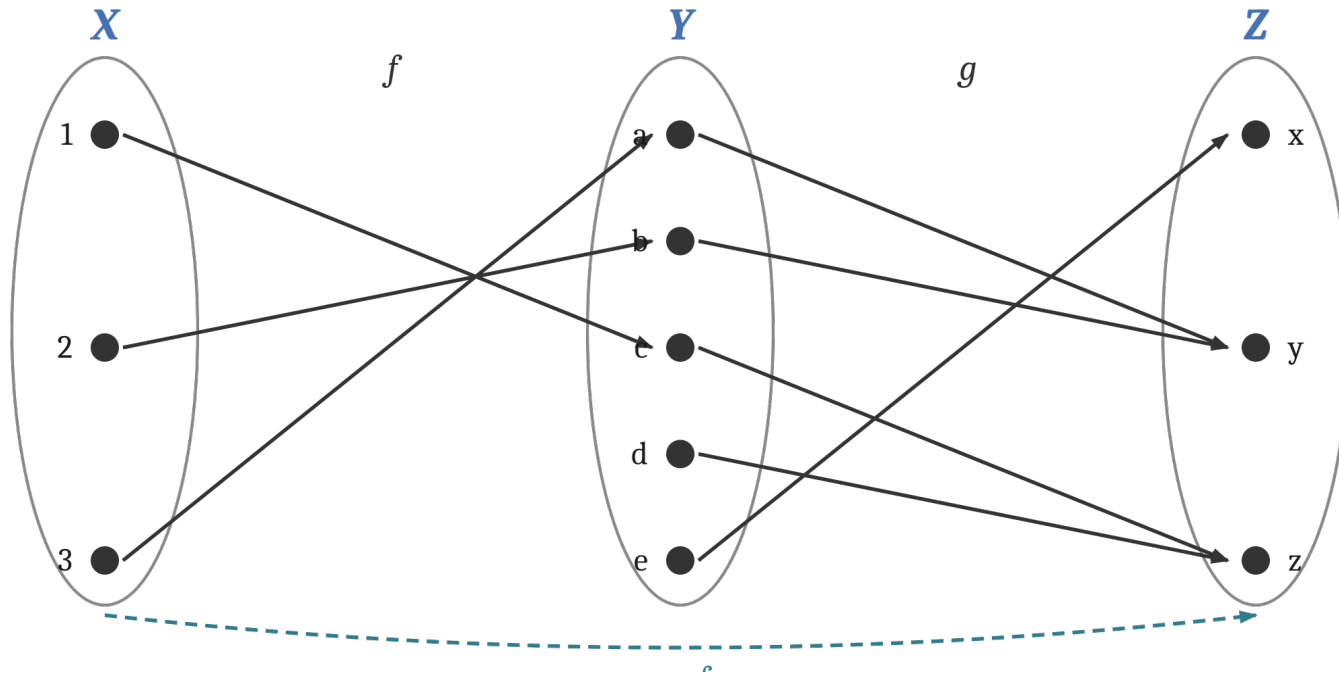
$$\begin{aligned}(f \circ g)(n) &= f(g(n)) \\ &= f(n^2) = n^2 + 1\end{aligned}$$

**Test at  $n = 1$ :**  $(g \circ f)(1) = (1 + 1)^2 = 4$ , but  $(f \circ g)(1) = 1^2 + 1 = 2$ . So  $g \circ f \neq f \circ g$ .

**Composition is not commutative.** In general  $F \circ G$  need not equal  $G \circ F$ . Order is part of the operation.

## Example 7.3.2 — composition on finite sets

Trace each arrow all the way across,  $X \rightarrow Y \rightarrow Z$ , to read off  $g \circ f$ .



$$\begin{aligned}(g \circ f)(1) &= g(c) = z \\(g \circ f)(2) &= g(b) = y \\(g \circ f)(3) &= g(a) = y\end{aligned}$$

**Range of  $g \circ f = \{y, z\}$ .**

**Note:** 2 and 3 pass through different middle elements but reach the same output  $y$  — composition can collapse what the pieces kept apart. The intermediate set  $Y$  just needs to receive  $f$  and feed  $g$ .

# Composition with identity and inverse

## Theorem 7.3.1 — identity

$$f \circ I_X = f \quad \text{and} \quad I_Y \circ f = f.$$

Composing with the do-nothing function changes nothing — the identity is neutral for composition.

## Theorem 7.3.2 — inverse

If  $f$  is a bijection with inverse  $f^{-1}$ :

$$f^{-1} \circ f = I_X \quad \text{and} \quad f \circ f^{-1} = I_Y.$$

A bijection followed by its inverse returns every element to itself.

## One-line proofs from the definition:

$$(f \circ I_X)(x) = f(I_X(x)) = f(x). \quad (f^{-1} \circ f)(x) = f^{-1}(f(x)) = x.$$

**Together:** the identity is the “1” of composition, and an inverse is a true two-sided undo.

# Composition preserves one-to-one and onto

## Theorem 7.3.3

If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are both **one-to-one**, then  $g \circ f$  is one-to-one.

## Theorem 7.3.4

If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are both **onto**, then  $g \circ f$  is onto.

**Proof idea (7.3.3):** suppose  $g(f(x_1)) = g(f(x_2))$ .  $g$  one-to-one  $\Rightarrow f(x_1) = f(x_2)$ ; then  $f$  one-to-one  $\Rightarrow x_1 = x_2$ .

**Proof idea (7.3.4):** take any  $z \in Z$ .  $g$  onto  $\Rightarrow z = g(y)$  for some  $y$ ;  $f$  onto  $\Rightarrow y = f(x)$  for some  $x$ . Then  $(g \circ f)(x) = g(f(x)) = g(y) = z$ .

**Corollary:** the composition of two bijections is a bijection — so inverses and counting arguments chain.

# 7.3 in one screen

## Composition

$(g \circ f)(x) = g(f(x))$ ;  $f$  acts first. Exists only if  $\text{range}(f) \subseteq \text{domain}(g)$ .

## Not commutative

$g \circ f$  and  $f \circ g$  are usually different functions — order is part of the operation.

## Identity (7.3.1)

$f \circ I = f$ : the identity is neutral for composition.

## Inverse (7.3.2)

$f^{-1} \circ f = I$  and  $f \circ f^{-1} = I$ : a bijection and its inverse undo each other.

## Preservation (7.3.3–4)

one-to-one  $\circ$  one-to-one stays one-to-one; onto  $\circ$  onto stays onto; so bijection  $\circ$  bijection is a bijection.

**Next:** 9.4 — the pigeonhole principle, where “not one-to-one” becomes a counting tool.

# 9.4 The pigeonhole principle

## 1. The principle

## 2. Applications

## 3. Generalized form

## 4. Contrapositive form

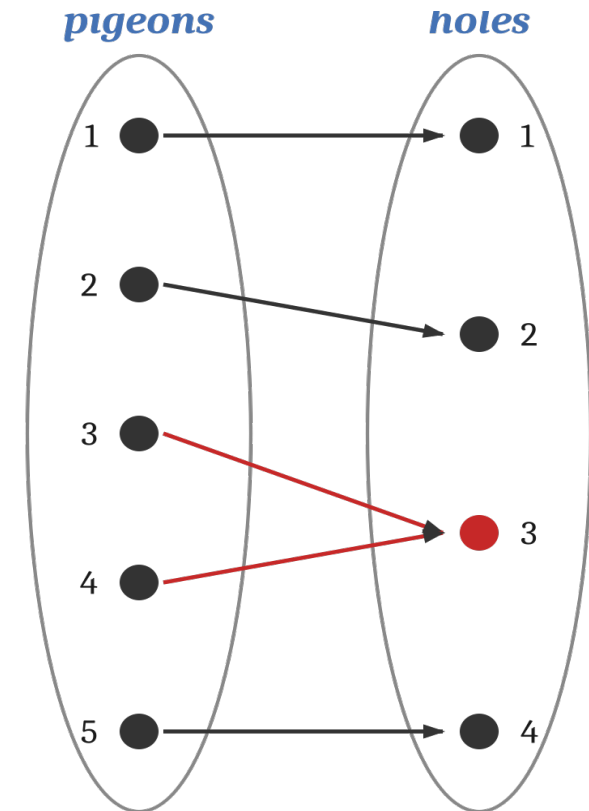
# The pigeonhole principle

*If  $n$  pigeons fly into  $m$  pigeonholes and  $n > m$ , then at least one hole contains two or more pigeons.*

**In the language of functions:**

A function from a finite set to a **smaller** finite set cannot be one-to-one — at least two elements of the domain share the same image.

**So in the diagram:** 5 pigeons, 4 holes ( $5 > 4$ ) force a collision — two arrows must hit the same hole (here, hole 3).



## Example 9.4.1 — birthdays and hair counts

### Same birth month?

6 people: not necessarily — they could fall in 6 different months (Jan–Jun).

**13 people: yes.** Pigeons = 13 people, holes = 12 months.  $13 > 12$ , so the “birth-month” function is not one-to-one — two people share a month.

### Same number of hairs?

**Among New Yorkers: yes.**

Pigeons = people ( $\geq 5,000,000$ ). Holes = possible hair counts (0 to  $\approx 300,000$ ).

Far more people than possible counts, so the “hair-count” function is not one-to-one — two people have exactly the same number of hairs.

**The move every time:** name the pigeons, name the holes, check pigeons  $>$  holes. The conclusion is then automatic.

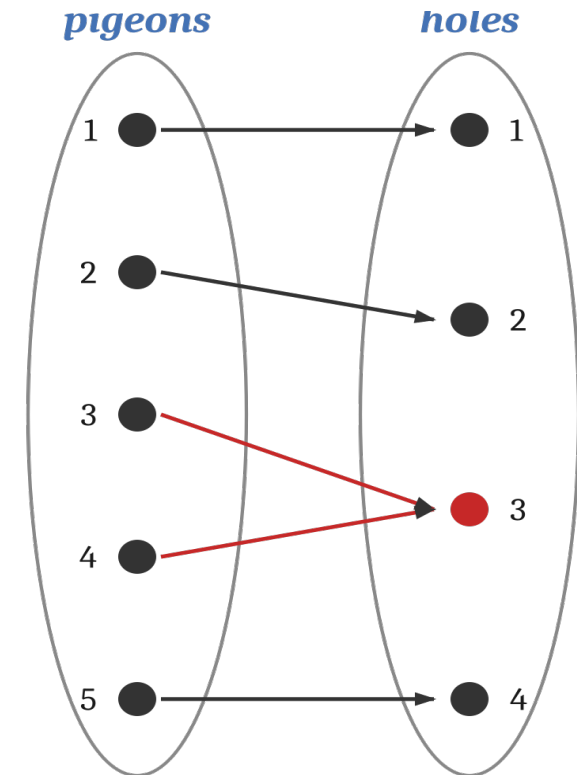
## Example 9.4.2 — how many to guarantee a pair

A drawer holds 10 black and 10 white socks. Pulling them out blindly, what is the least number you must take to be sure of a matched pair?

**Answer: 3 socks.**

**Why:** pigeons = socks pulled, holes = the 2 colours. Two socks could be one of each colour, but a third sock has only 2 colours to land in —  $3 > 2$ , so two of them must share a colour.

**Note:** the number of socks of each colour does not matter — only the number of colours (holes) does.



## Example 9.4.3 — a pair summing to 9

Let  $A = \{1, 2, 3, 4, 5, 6, 7, 8\}$ . Partition  $A$  into pairs that each add to 9:

$\{1, 8\}$   $\{2, 7\}$   $\{3, 6\}$   $\{4, 5\}$  — four subsets (holes)

**Pick 5 integers → yes ✓**

Pigeons = 5 chosen integers, holes = 4 subsets.  $5 > 4$ , so two chosen integers fall in the same subset — and that subset's pair sums to 9.

**Pick 4 integers → no ✗**

Now pigeons = holes = 4, so no collision is forced.  
Counterexample:  $\{1, 2, 3, 4\}$  — no two of these add to 9.

**The art is the partition.** Choosing the holes so that “two in one hole” means exactly what you want (a pair summing to 9) is the whole trick.

## Example 9.4.4 — why decimals repeat

Long-divide  $a / b$ . The remainders  $r_0, r_1, r_2, \dots$  are each between 0 and  $b - 1$ .

**Pigeonhole argument:** if the division never terminates there are infinitely many remainders, but only  $b$  possible values (0 to  $b - 1$ ). So some remainder value must repeat — and once a remainder repeats, the digits between the repeats cycle forever. Hence every fraction's decimal expansion terminates or repeats.

Example:  $3 / 14 = 0.2\mathbf{142857}142857 \dots$  *(the block 142857 repeats once a remainder value returns)*

**Corollary:** a number whose decimal neither terminates nor repeats cannot be rational — e.g.  $0.01011011101111\dots$  (each block of 1's one longer) is irrational.

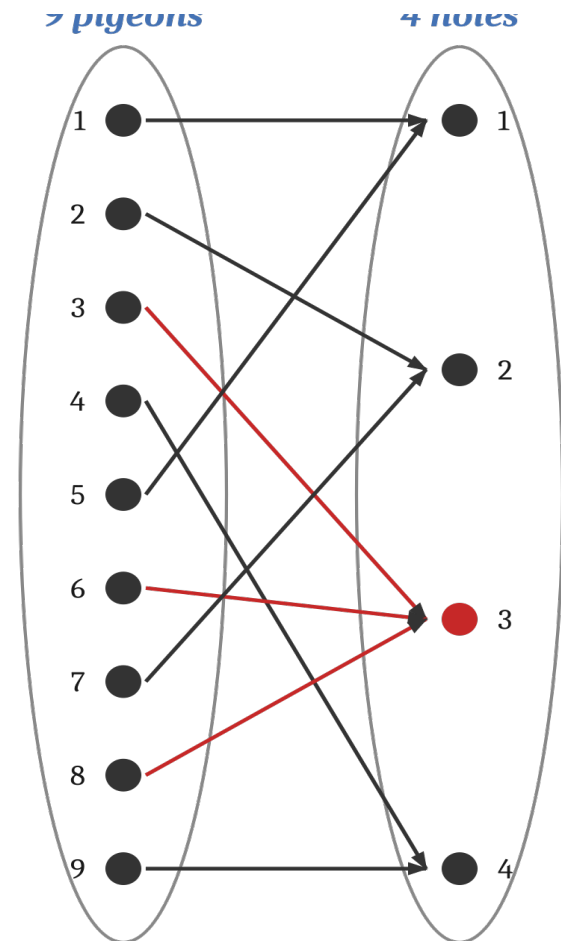
# The generalized pigeonhole principle

*If  $n$  pigeons fly into  $m$  holes and  $k < n / m$  for a positive integer  $k$ , then at least one hole contains  $k + 1$  or more pigeons.*

**Basic principle = the case  $k = 1$ :**  $1 < n/m$  (i.e.  $n > m$ ) forces a hole with 2.

**Picture:  $n = 9$ ,  $m = 4$ ,  $k = 2$ .**

Since  $2 < 9 / 4 = 2.25$ , some hole must hold at least  $2 + 1 = 3$  pigeons. In the diagram, hole 3 gets three.



## Example 9.4.5 — shared last initials

In a group of 85 people, must at least 4 share the same last initial?

Pigeons = 85 people, holes = 26 last initials (A–Z). Take  $k = 3$ :  $3 < 85 / 26 \approx 3.27$ .

**By the generalized principle:** since  $3 < 85/26$ , some initial is shared by at least  $k + 1 = 4$  people. So yes — at least 4 people have the same last initial.

### Contrapositive view (same conclusion):

if no initial were shared by 4 people, every initial would have at most 3, giving at most  $3 \times 26 = 78$  people. But there are  $85 > 78$  — contradiction. So at least 4 must share an initial.

## Example 9.4.6 — the contrapositive form

42 students share 12 computers; each uses exactly one, and no computer serves more than 6. Show at least 5 computers serve 3 or more students.

### Argument by contradiction.

Suppose at most 4 computers serve 3 or more students. Then at least 8 computers serve at most 2 students each. Count the maximum number of students that could be served:

- the 8 light computers: at most  $2 \times 8 = 16$  students
- the other 4 computers: at most  $6 \times 4 = 24$  students
- **total served  $\leq 16 + 24 = 40$  students.**

But there are 42 students, and  $42 > 40$  — contradiction. So at least 5 computers serve 3 or more students.

**Why contrapositive:** “at most  $k$  per hole  $\Rightarrow$  at most  $k \cdot m$  in total.” Assuming the bound fails and overshooting the capacity is often the cleanest route.

# 9.4 in one screen

## The principle

more pigeons than holes  $\Rightarrow$  some hole has  $\geq 2$ . Equivalently: a function to a smaller set is not one-to-one.

## Generalized

if  $k < n/m$  then some hole has  $\geq k + 1$ . The fullest hole holds at least  $\lceil n/m \rceil$ .

## The recipe

name the pigeons, name the holes, check pigeons  $>$  holes (or compare to  $k \cdot m$ ). The cleverness is choosing them.

## Contrapositive

at most  $k$  per hole  $\Rightarrow$  at most  $k \cdot m$  total. Assume the bound fails and overshoot the count.

## Reach

birthdays, socks, sums, repeating decimals, shared initials — one idea, many disguises.

**Practice:** Epp §9.4 — try exercises 1–12 (basic) and 13–20 (generalized). Pigeonhole is enrichment here, not a primary exam target.

# Wrapping up: functions, end to end

From “what is a function” to counting with the pigeonhole principle:

7.1

what a function is — domain, co-domain, image, range, well-defined rules.

7.2

one-to-one and onto; bijections pair sets perfectly and can be inverted.

7.3

composition chains functions; identity and inverse; one-to-one and onto are preserved.

9.4

the pigeonhole principle — “not one-to-one” turned into a powerful counting tool.